Drug/Alcohol Coordinated Data System
DACODS User Manual

Revised February 2014
Version 2014.1

Produced by the Colorado Department of Human Services
Office of Behavioral Health
Introduction to the Drug/Alcohol Coordinated Data System (DACODS)

What is DACODS?
DACODS is the primary client level data collection instrument used by the OBH of the Colorado Department of Human Services.

Why must I complete DACODS?
The Substance Abuse and Mental Health Services Administration requires that OBH collect and report on the data items in DACODS as a requirement of funding. OBH uses this information to monitor service quality, utilization and effectiveness, and to report to the legislature on treatment outcomes and service needs in Colorado. OBH requires completion of DACODS as a requirement of agency licensure.

When do I complete DACODS?
DACODS should be completed
- at time of admission (first face-to-face therapeutic contact with a clinician) to any modality of substance abuse services for treatment, detoxification and DUI education and/or therapy clients;
- and at discharge from that modality of substance abuse services.
- Should a client transfer between modalities (e.g., go from residential to outpatient) or from one agency to another with a different licensing number, the clinician must complete a discharge DACODS before the client may be admitted to the second modality or agency and this modality’s admission DACODS is completed.

Whom do I DACODS?
- Anyone who uses or who has used drugs or alcohol and is in an OBH-licensed substance abuse treatment, detoxification or DUI program, regardless of payer source for these services
- Anyone who is being differentially assessed for a substance abuse problem by a substance abuse treatment or detoxification program, regardless of whether or not the client is determined to have a substance abuse problem, and regardless of payer source
- Anyone court-ordered to attend a substance abuse treatment, detoxification or DUI education and/or therapy program, regardless of payer source
- Anyone required by Child Welfare to be in a substance abuse treatment, detoxification or DUI education and/or therapy program regardless of payer source
- Each and every substance abuse treatment, detoxification or DUI education and/or therapy client at each admission to and discharge from each modality, regardless of payer source
- Adolescents enrolled in Minors In Possession (MIP) Treatment Programs
Do NOT complete a DACODS on:
- Spouses, friends or relatives of a substance abuser for whom a substance abuse problem has not been identified
- Victims of domestic or physical violence or sexual abuse for whom a substance abuse problem has not been identified
- Children under 18 years of age for whom a substance abuse problem has not been identified, even though the parents or legal guardian have substance abuse problems
- Clients who receive services from agencies not licensed by OBH
- Clients who receive services that are not OBH licensed. (E.g., an agency may be OBH-licensed for DUI only, but may also render residential services for which the agency is not OBH-licensed. If a client obtains only the residential service, do not complete a DACODS. For this particular example, DACODS should be completed on clients in the DUI portion of their business only.)

May two or more DACODS be active on the same client simultaneously?
If a client receives services from two different programs or modalities simultaneously, then both programs or modalities should admit and discharge the client to DACODS. It is possible, therefore, for a client to have two or more DACODS open at the same time.

How do I complete DACODS?
The easiest and fastest way to complete DACODS is to use OBH’s secure web site (https://www.adad.cdhs.state.co.us) for on-line data entry. To receive training in use of this system and/or in completion of the DACODS form, contact OBH’s Provider Liaison, Jackie Urioste at 303-866-7484 or jackie.urioste@state.co.us.

When do I submit DACODS to OBH?
DACODS should be completed as soon as possible after client admission and discharge. DACODS entered into OBH’s secure web site are transmitted to OBH automatically.

Whom do I call if I have problems with or questions about DACODS or DACODS training?
Contact OBH’s Provider Liaison, Jackie Urioste at 303-866-7484 or jackie.urioste@state.co.us. If Jackie is unavailable, contact Troy Evatt at 303-866-7485 (troy.evatt@state.co.us).

What about client confidentiality? Do I need client consent before sending DACODS to OBH?
Licensure regulations of the Office of Behavioral Health (OBH) of the Colorado Department of Human Services mandate that substance abuse treatment agencies submit client-identified information to OBH. Both federal laws, 42 CFR Part 2 and HIPAA, allow the licensing entity, OBH, to obtain client-identified information from substance abuse prevention and treatment agencies without client consent. Citations follow.

42 C.F.R Part 2
Subpart A - Introduction §290 EE-3, (b) (2) and § 290 DD-3 (b) (2)
“Whether or not the patient, with respect to whom any given record referred to in subsection (a) of this section is maintained, gives his written consent, the content of such record may be disclosed as follows...(B) To qualified personnel
for the purpose of conducting scientific research, management audits, financial audits, or program evaluation, but such personnel may not identify, directly or indirectly, an individual patient in any report of such research, audit or evaluation or otherwise disclose patient identities in any manner.”

**HIPAA**

45 CFR §164.502(a) and §164.506(c)

“HIPAA permits protected health information to be disclosed without patient consent, for the covered entity’s own treatment, payment or health care operations, and with some limitations, for the treatment, payment or health care operations of another covered entity.”

45 CFR §164.512 (d) Standard: uses and disclosures for health oversight activities

“A covered entity may disclose protected health information to a health oversight agency for oversight activities authorized by law, including audits; licensure or disciplinary actions...or other activities necessary for appropriate oversight of:

(i) the health care system;
(ii) government benefit programs for which health information is relevant to beneficiary eligibility;
(iii) entities subject to government regulatory programs for which health information is necessary for determining compliance with program standards...”

If I submit DACODS electronically to OBH does that make my agency a covered entity under HIPAA?

No, just submitting DACODS to OBH does not make you a HIPAA-covered entity. The data and information that OBH collects are not HIPAA-defined transactions.

How do I use this manual?

The DACODS User Manual follows the data item order on the DACODS form. E.g., if you have a question about DACODS data item #30, see #30 at the top of page, not page #30 on lower right of the page.

What if I have suggestions to improve DACODS, the data entry process or this manual?

Contact OBH’s Provider Liaison, Jackie Urioste, at 303-866-7484 or jackie.urioste@state.co.us. OBH welcomes all feedback and uses suggestions to improve processes for providers.
1. **SSPA #: ____** (1-7)

**Description:** SubState Planning Area (SSPA) region of clinic in which service originates.

**Guidelines:**
1=Northeast Colorado  
2=Denver area  
3=Colorado Springs area  
4=Southeast Colorado  
5=Western Slope - South  
6=Western Slope - North  
7=Boulder area

Write only the code number of the region. Do not write the region’s name. Only one region is allowed.

**Valid Entries:** 1-7

**Unknown:** Not acceptable

**Refused:** Not acceptable

**Not Collected:** Not acceptable

**Blanks:** Not acceptable

**Field Length:** 1

**Field Type:** Numeric
2. **Admission date:** ____/__/______
   
   **Description:** Admission is defined as the client’s first face-to-face therapeutic service with the counselor. Therapeutic service includes the differential assessment.
   
   **Guidelines:** The admission date cannot be greater than the current date.
   
   **Valid Entries:** MM DD YYYY
   
   Valid entries must have two numerical digits for the month, two for the day, and four for the year.
   
   **Unknown:** Not acceptable
   
   **Refused:** Not acceptable
   
   **Not Collected:** Not acceptable
   
   **Blanks:** Not acceptable
   
   **Field Length:** 8
   
   **Field Type:** Date
3. **Date of First Contact:**  __ / __ / __ __ __

**Description:** Client’s initial communication (e.g. phone, in person, fax, etc.) requesting an appointment for treatment services with the agency. This may include a call from a referral source when that person is able to schedule the client for an appointment. If the client has been transferred within an agency for a reason such as a site closing or modality changes, the date of first contact would reflect the date of last contact from the previous site/modality, as opposed to date of first contact that initiated the initial treatment episode.

**Guidelines:** The date of first contact cannot be greater than the admission date or the current date.

**Valid Entries:** MM DD CCYY

Valid entries must have two numerical digits for the month, two for the day, and four for the year.

**Unknown:** Not acceptable

**Refused:** Not acceptable

**Not Collected:** Not acceptable

**Blanks:** Not acceptable

**Field Length:** 8

**Field Type:** Date
4. **Date of First Appointment Offered:**  

\[____/____/____\]  

\[M M \ D D \ C C \ Y Y\]

**Description:** The first available appointment (walk-in or scheduled) that the provider has for a client’s specific treatment needs. (This may/may not be the appointment the client selected, it is the first available appointment that is *offered*).

**Guidelines:** The date of first appointment offered cannot be greater than the admission date or less than the first contact date.

**Valid Entries:** **MM DD CCYY**

Valid entries must have two numerical digits for the month, two for the day, and four for the year.

**Unknown:** Not acceptable

**Refused:** Not acceptable

**Not Collected:** Not acceptable

**Blanks:** Not acceptable

**Field Length:** 8

**Field Type:** Date
5. **Report type:** ____ *(A-Treatment Admission; X-Detox Admission)*

**Description:**
A= Admission
Data pertains to client’s status at admission to treatment; admission section should be initiated at the time of client’s first face-to-face therapeutic service with a counselor (including differential assessment), and completed by the end of the third outpatient session or third day for residential modalities.

X=Detox
Data pertains to client’s status at admission and client is admitted for detoxification or withdrawal services only. Clients admitted to detoxification services must be intoxicated, under the influence, or in mild to moderate stages of withdrawal from alcohol and/or other drugs.

**Guidelines:**
An admission DACODS must be completed when any of the following occur:
- the client is admitted to treatment or detox services;
- the modality changes;
- the location of services changes such that the Clinic/Provider license number is different

**Valid Entries:**  
A, X  

**Unknown:** Not acceptable

**Refused:** Not acceptable

**Not Collected:** Not acceptable

**Blanks:** Not acceptable

**Field Length:** 1

**Field Type:** Alpha
6. Clinic/Provider License number: __ __ __ __-__ __

Description: Identifies the provider of the alcohol or drug treatment service.

Guidelines: Clinic/provider identification is the six-digit license number assigned to a facility/clinic by OBH. For facilities/clinics with multiple locations, the first four digits may be identical, but the last two digits are unique numbers specific to individual locations or sites.

Valid Entries: XXXX-XX
Four numerical digits, a hyphen, and two numerical digits

Unknown: Not acceptable
Refused: Not acceptable
Not Collected: Not acceptable
Blanks: Not acceptable
Field Length: 7
Field Type: Alphanumeric
7. Provider Client Number: __ __ __ __ __ __ __ __

Description: This is an optional data element designed to assist programs to identify client records and link them with DACODS. This identifier is created by the facility/clinic, and should be a unique number for that facility/clinic. If not collected or not used, leave item blank.

Guidelines: Up to 10 spaces are provided.

Valid Entries: XXXXXXXXXX

Unknown: Allowed as blanks

Refused: Not acceptable

Not Collected: Allowed as blanks

Blanks: Allowed

Field Length: 10

Field Type: Alphanumeric
8. **Medicaid ID Number:**

**Description:** Client’s Medicaid Identifier; to be completed whenever client has a Medicaid identifier, regardless of whether or not Medicaid is the primary payer for these current services.

**Guidelines:** Up to 7 spaces are provided.

**Valid Entries:** X999999

**Unknown:** Allowed as blanks only if client does not have Medicaid ID and/or Primary Source of Payment (item #39 is not response #5, Medicaid

**Refused:** Not acceptable

**Not Collected:** Not acceptable

**Blanks:** Allowed only if client does not have Medicaid ID and/or Primary Source of Payment (item #39 is not response #5, Medicaid

**Field Length:** 7

**Field Type:** Alphanumeric
Client Information (#9-11)

9. Last Name: ____________________________________________
First Name: _____________________________________________ M.I. _________

Description: A “client” is a person who meets the following criteria:
1. has an alcohol or drug related problem and
2. has formally completed a differential assessment or
3. has been formally admitted to an alcohol or drug treatment unit for treatment or detox services, and
4. has his/her own client record.

Guidelines: Last Name - up to 40 spaces provided; double last names may include a hyphen or space; last names may have a space followed by “Jr.” or “II” or some other designation.
First Name - up to 23 spaces provided; double first names may include a hyphen or space.
Middle Initial - only 1 space provided; no hyphens, spaces or punctuation allowed. If client does not have a Middle Initial, leave this line blank.

Valid Entries: Last Name - XXXXXXXXXXX or XXXXX-XXXX, XX or XXXXX XXXX
First Name - XXXXXXXXXXX or XXXXX-XXXX, or XXXXX XXXX
Middle Initial - X

Unknown: Acceptable for Middle Initial only
Refused: Acceptable for Middle Initial only
Not Collected: Acceptable for Middle Initial only
Blanks: One blank is acceptable between multiple last or first names; blanks are acceptable for Middle Initial

Field Length: Last Name - 40
First Name - 23
Middle Initial - 1

Field Type: Last Name - alpha
First Name - alpha
Middle Initial - alpha
12. Date of Birth: __/__/____

Description: Identifies client’s birth date

Guidelines: Client’s date of birth must be at least 5 years less than (or prior to) the admission date.

Valid Entries: MM DD CCYY
Valid entries must have two digits for the month, two digits for the day, and four digits for the year.

Unknown: Not acceptable

Refused: Not acceptable

Not Collected: Not acceptable

Blanks: Not acceptable

Field Length: 8

Field Type: Date
13. **Social Security Number: __ __ __-__ __-__ __ __ __**

Description: Identifies the client’s social security number.

Guidelines: Enter the client’s entire social security number in the 9 spaces provided.

Valid Entries: 999-99-9999
3 digits, a hyphen, 2 digits, a hyphen, and 4 digits

Unknown: Allowed as blanks only if client does not have Social Security Number

Refused: Allowed as blanks only if client does not have Social Security Number

Not Collected: Allowed as blanks only if client does not have Social Security Number

Blanks: Allowed

Field Length: 11

Field Type: Alphanumeric
14. Zip Code: ________-________
   ______Homeless   ______Out of State zip

Description: Enter the 5 or 9-digit zip code of client’s Colorado residence; if client is homeless or lives out of state, leave zip code blank and check the appropriate line.

Guidelines: If the 5 or 9 digit zip code is completed, then both Homeless and Out of State zip must be blank.

If the client is homeless, leave the zip code blank and check the line before Homeless. If the client lives out of state, leave the zip code blank and check the line before Out of State. If the client is both homeless and from out of state, check “Homeless”

Valid Entries: 99999 or 99999-9999
Five numerical digits
Or 5 digits, a hyphen and 4 digits.

Unknown: Not acceptable
Refused: Not acceptable
Not Collected: Not acceptable
Blanks: Acceptable only if Client is Out of State or Homeless
Field Length: 5 or 10
Field Type: Alphanumeric
**15. County of Client’s Colorado Residence: _____**

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<td>Summit</td>
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</table>

**Description:** Identifies the county of the client’s Colorado residence.

**Guidelines:** For Colorado residents, write in the appropriate county code number in which the client’s residence is located. Only one county code number entry is allowed. Do not write in the county name.

If client is homeless or lives out of state (as noted in previous question) leave item blank.

**Valid Entries:** 1-64

**Unknown:** Not acceptable

**Refused:** Not acceptable

**Not Collected:** Acceptable for treatment or detox only if client is homeless or from out of state as noted in previous item; designate by leaving item blank

**Blanks:** Acceptable for treatment or detox only if client is homeless or from out of state as noted in previous item

**Field Length:** 2

**Field Type:** Numeric
16. Admission Modality: ______
0 = Differential Assessment
1 = Ambulatory medical detox
2 = Residential (non-hospital) detox (RDX)
3 = Therapeutic community (TC)
4 = Intensive residential (IRT)
5 = Transitional residential (TRT)
6 = Opioid replacement therapy (ORT)
7 = Traditional Outpatient (OP)
8 = STIRRT
9 = Intensive Outpatient (IOP)
10= Day treatment (DAY)
11= Medically managed inpatient other than detox
12= Medically managed inpatient detox
13= DUI Level I education
14= DUI Level II education only
15= DUI Level II therapy and education
16= Minors in Possession (MIP) Treatment

Description: The modality or type of service into which the client is being admitted.

0= Differential Assessment
Formal evaluation by counselor to determine type of substance abuse treatment needed and ASAM level of care.
(Most clients receive a Differential Assessment during their intake. This modality refers to clients who ONLY receive the Differential Assessment and are determined to be inappropriate for substance abuse treatment.) (No corresponding ASAM Level)

1 = Ambulatory medical detox
Outpatient treatment services providing for safe withdrawal in an ambulatory setting. ASAM Level I-D and Level II-D

2 = Residential (non-hospital) detox (RDX)
24 hour per day services in non-hospital setting providing for safe withdrawal and transition to ongoing treatment. ASAM Level III.2-D

3 = Therapeutic community (TC)
High-intensity residential program designed to address significant problems with living skills in a highly-structured recovery environment, utilizing the treatment community as the change agent modeling and enforcing appropriate values and behaviors. Treatment is specific to maintaining abstinence and preventing relapse, but also vigorously promotes personal responsibility and positive character change over a typical period of 9 to 18 months. ASAM Level III.5
Standardized Offender Assessment Level 6
4 = Intensive residential (IRT)
Planned residential treatment regimen of 24-hour professionally
directed evaluation, care and treatment of addicted persons in
an inpatient setting typically lasting 30 days or less. ASAM Level
III.7
Standardized Offender Assessment Level 5

5 = Transitional residential (TRT)
Low-intensity professional addiction treatment services offered
at 5 or more hours per week in a structured, 24-hour staffed
residential recovery environment. Clients are typically required
to work and attend recovery skills sessions over a period of 1 to
3 months. ASAM Level III.1
Standardized Offender Assessment Level 4

6 = Opioid replacement therapy (ORT)
Ambulatory pharmacological treatment service for opiate-
addicted clients designed to address client need to increase
level of functioning, including elimination of illicit opiate use.
ASAM Level OMT

7 = Traditional Outpatient (OP)
Organized non-residential treatment provided in a variety of
settings for fewer than 8 treatment contact hours per week for
adults, and fewer than 5 treatment contact hours per week for
minors. ASAM Level 1
Standardized Offender Assessment Level 3

8 = Short Term Intensive Remedial Residential Treatment (STIRRT)
Specialized residential treatment for offenders in an inpatient
setting typically lasting less than 30 days. (No corresponding
ASAM Level)
Standardized Offender Assessment Level 5

9 = Intensive Outpatient (IOP)
Nine or more hours per week for adults, and 6 or more hours per
week for minors, of structured intensive substance abuse
programming in which psychiatric and medical needs may also be
addressed. ASAM Level II.1
Standardized Offender Assessment Level 4

10 = Day treatment (DAY)
Twenty or more hours of clinically intensive programming per
week in an ambulatory setting. ASAM Level II.5
Standardized Offender Assessment Level 4

11 = Medically managed inpatient other than detox
Twenty-four hour medically-directed substance abuse treatment
(excluding detox) provided in an acute care inpatient or hospital
setting. ASAM Level IV
12 = Medically managed inpatient detox
24 hour per day intensive medical acute care services in a hospital setting for detoxification for persons with severe medical complications associated with withdrawal. ASAM Level IV-D

13 = DUI Level 1 education
Twelve hours of outpatient instruction for DUI/DWAI, BUI or FUI offenders placed in accordance with the ADDS program clinical procedures. No more than 6 hours shall be conducted in one calendar day.

14 = DUI Level II education only
Twenty-four hours of outpatient therapeutic education provided over 8 to 12 weeks with an emphasis on group process for DUI/DWAI, BUI or FUI offenders placed in accordance with the ADDS guidelines.

15 = DUI Level II therapy and education
Twenty-four hours of outpatient therapeutic education plus recommended Track A, B, C or D of therapy. Clients must satisfy a specific number of education and therapy hours required over a specific number of months, as determined by BAC and the number of prior offenses, per ADDS guidelines.

16 = Minors in Possession (MIP) treatment
Outpatient education and treatment provided to youth receiving an underage drinking ticket. First offense groups shall be conducted with a minimum of 6 hours of education; second offense requires a minimum of 12 hours of treatment; and third and all subsequent offenses require a minimum of 20 hours of treatment services. All offenses require completion of additional court-ordered services.

Guidelines: Write in only one admission modality per DACODS.

If client is being admitted to two or more modalities simultaneously, then one DACODS form for each modality must be completed at time of admission to those modalities.

Differential Assessment
Use this response code if the Differential Assessment is the ONLY service the client is expected to receive. The client will not be referred for nor is expected to receive treatment services of any kind, nor will the client be placed on a waiting list for services.

Acceptable treatment modalities are:
0=Differential Assessment
3=Therapeutic Community
4=Intensive Residential
5=Transitional Residential
6=Opioid Replacement Therapy
7=Traditional Outpatient
8=STIRRT
9=Intensive Outpatient
10=Day Treatment
11=Medically Managed Inpatient other than detox
16=Minors in Possession (MIP) treatment

Acceptable detox modalities are:
1=Ambulatory Medical Detox
2=Residential (non-hospital) Detox
12=Medically Managed Inpatient Detox

Acceptable modalities for DUI providers are:
13=DUI Level I Education
14=DUI Level II Education only
15=DUI Level II Therapy and Education

Valid Entries: 1-15
Unknown: Not acceptable
Refused: Not acceptable
Not Collected: Not acceptable
Blanks: Not acceptable
Field Length: 2
Field Type: Numeric
17. **Days client waited for treatment in this modality because of program capacity:**

_____

**Description:** Identifies the number of days the client waited to receive therapeutic services because of program capacity or program requirements. Determine the number of days waited by counting as day “one” the date the client first contacted anyone in or at the agency, up to but not including the first day the client actually receives billable treatment services. The first day of services is not included in the count. This item does not apply to detox clients, Report Type X.

**Guidelines:** This item is intended to capture the number of days the client waited to begin actual treatment (which may include Differential Assessment) because of program capacity, treatment availability, admissions requirements or other program requirements. It should not include time delays caused by client unavailability.

All agencies licensed to provide gender specific women’s treatment should refer to the most current Alcohol and Drug Abuse Office (OBH) Substance Use Disorder Treatment Rules for information on acceptable waiting periods and interim services. All OBH-funded agencies should refer to their contract for information on acceptable waiting periods and interim services for specific client populations.

**Valid Entries:** 000-999

**Unknown:** Not acceptable

**Refused:** Not acceptable

**Not Collected:** Acceptable for detox and clients admitted to Differential Assessment modality only; designate by leaving item blank

**Blanks:** Acceptable for detox and for clients admitted to Differential Assessment modality only

**Field Length:** 3

**Field Type:** Numeric
18. If days waited >0, were interim services offered? _____Yes _____No

Description: Interim services are those services or educational materials offered to clients placed on a waiting list for entry into a specific treatment modality.

Guidelines: At minimum interim services must include counseling and education about HIV and tuberculosis (TB), the risks of needle-sharing, the risks of transmission to sexual partners and infants, steps that can be taken to ensure that HIV and TB transmission do not occur, and referral for HIV and/or TB treatment services if necessary.

Enrollment in one modality may serve as interim services while the client remains on the waiting list for another modality.

Valid Entries: Check (X) “Yes” or “No”

Unknown: Not acceptable

Refused: Not acceptable

Not Collected: Acceptable for detox Report Type, Differential Assessment modality, or if days waited = 0; designate by leaving item blank

Blanks: Acceptable for detox Report Type, Differential Assessment modality or if days waited = 0

Field Length: 1

Field Type: Alpha
19. **Number of prior substance abuse treatment episodes in client’s lifetime: _____**

Description: Identifies the number of times in his/her lifetime the client has sought and received substance abuse treatment in any drug or alcohol program.

Guidelines: The number of episodes may equal or be less than the number of admissions. Transfers to different modalities or levels of care should not be counted as separate prior episodes. Exclude self-help programs.

Valid Entries: Number - 0-998

Unknown: Not acceptable

Refused: Not acceptable

Not Collected: Not acceptable

Blanks: Not acceptable

Field Length: 3

Field Type: Numeric
20. **Number of Detox treatment episodes in client’s lifetime: _____**

**Description:** Identifies the number of times in his/her lifetime the client has sought detox treatment in any drug or alcohol program.

**Guidelines:** The number of episodes may equal or be less than the number of admissions. Transfers to different modalities or levels of care should not be counted as separate prior episodes. Exclude self-help programs.

**Valid Entries:** Number - 0-998

**Unknown:** Not acceptable

**Refused:** Not acceptable

**Not Collected:** Not acceptable

**Blanks:** Not acceptable

**Field Length:** 3

**Field Type:** Numeric
21. Client’s gender: ____Male  ____Female

Description: Identifies the gender of the client.

Guidelines: Check only one option. If the client’s gender is in question, check “Male” or “Female” according to the manner in which the clinician will treat the client.

Unknown: Not acceptable

Refused: Not acceptable

Not Collected: Not acceptable

Blanks: Not acceptable

Field Length: 1

Field Type: Alpha
22. **Client’s Sexual Orientation:**

1 = Heterosexual  
2 = Gay/Lesbian  
3 = Bisexual  
4 = Other  
5 = Declined

**Description:** Identifies the sexual orientation of the client.

**Guidelines:** Check only one option.

1. **Heterosexual**  
The individual identifies as primarily or exclusively having a romantic attraction, sexual attraction or behavior towards persons of opposite sex or gender. The individual may also refer to self as *straight*.

2. **Homosexual**  
The individual identifies as primarily or exclusively having a romantic attraction, sexual attraction or behavior towards persons of the same sex or gender. The individual may also refer to self as gay, lesbian or queer.

3. **Bisexual**  
The individual identifies as primarily or exclusively having a romantic attraction, sexual attraction, or sexual behavior toward males *and* females.

4. **Other**  
The individual identifies as primarily or exclusively pansexual *(having a romantic or sexual attraction to all gender identities or sexual attraction to a person irrespective of that person’s biological sex or gender)* or asexual *(having no romantic or sexual attraction or sexual behavior towards any biological sex or gender)* OR questioning *(unsure of one’s own sexual orientation)*.

5. **Declined**  
The individual chooses not to answer the question.

**Unknown:** Not acceptable  
**Refused:** Not acceptable  
**Not Collected:** Not acceptable  
**Blanks:** Not acceptable  
**Field Length:** 1  
**Field Type:** Alpha
23. Is client pregnant? ____Yes  ____No

**Description:** Identifies the client’s pregnancy status at time of admission.

**Guidelines:**
- If the client is male, check “No”
- If the client is female and pregnant at the time of admission, check “Yes.”
- If the client is female and not pregnant at the time of admission, check “No.”

Only one option is allowed. Checking “Yes” will trigger a Pregnancy Screening Button to appear. This screening should be completed for all pregnant clients at admission to and during treatment.

**Valid Entries:** Check Yes, No

**Unknown:** Not acceptable

**Refused:** Not acceptable

**Not Collected:** Not acceptable

**Blanks:** Not acceptable

**Field Length:** 1

**Field Type:** Alpha
24. Client’s Race: ____ (check all that apply)

Description: Identifies the client’s race with which they identify most strongly. If the client is bi-racial or multi-racial, mark all the races with which the client identifies.

__White
   Origins in any of the people of Europe, North Africa, or the Middle East

__Black
   Origins in any of the Black racial groups of Africa

__American Indian/Alaskan Native
   Origins in any of the original people of North and South America (including Central America) and who maintains cultural identification through tribal affiliation or community attachment
   Origins in any of the original people of Alaska, including Aleuts, Eskimos and Alaskan Indians

__Asian
   Origins in any of the original people of the Far East, Indian subcontinent or Southeast Asia, including China, Japan, Vietnam, Malaysia, Philippine Islands, Pakistan, Thailand, Cambodia, Korea, India

__Native Hawaiian/Pacific Islander
   Origins in any of the original people of the Pacific Islands, including Hawaii, Guam, Samoa or other Pacific Islands

__Declined
   Client declined to answer question

Guidelines: Base this response on the client’s interpretation of him/herself. The client can select more than one option.

Valid Entries: Check all appropriate response(s).

Unknown: Not acceptable


Not Collected: Not acceptable

Blanks: Not acceptable

Field Length: 2

Field Type: Numeric
25. Ethnicity with which the client identifies:

Description: Identifies the client’s ethnicity with which they identify most strongly.

0. Not Hispanic
1. Hispanic/Mexican
   Of Mexican origin, regardless of race
2. Hispanic/Puerto Rican
   Of Puerto Rican origin, regardless of race
3. Hispanic/Cuban
   Of Cuban origin, regardless of race
4. Other Hispanic
   Of Central or South America and any other Spanish cultural origin, including Spain, regardless of race (excluding Mexico, Puerto Rico and Cuba)
5. Declined
   Client declined to answer question

Guidelines: Base this response on the client’s interpretation of him/herself.

Valid Entries: Select the most appropriate response.

Unknown: Not Acceptable


Not Collected: Not Acceptable

Blanks: Not Acceptable

Field Length: 1

Field Type: Alpha
26. Client’s marital status: _____
   1= Never married
   2= Married
   3= Widowed
   4= Separated
   5= Divorced

Description: Specifies client’s marital status at time of admission.

Guidelines: Write the number reflecting the client’s marital status on the line next to the item. Choose only one option.

1= Never married
   Includes those whose only marriage has been annulled
2= Married
   Includes those living together and representing themselves as married
3= Widowed
   Excludes those who have remarried after the death of a previous spouse
4= Separated
   Includes those separated legally or otherwise absent from spouse because of marital discord
5= Divorced
   Excludes those who have remarried after divorce from a previous spouse

Valid Entries: 1-5

Unknown: Not acceptable

Refused: Not acceptable

Not Collected: Not acceptable

Blanks: Not acceptable

Field Length: 1

Field Type: Numeric
27. **Client’s Monthly Income: ____________________**
Enter total gross legal income during most recent full month. Use whole dollars only. If no income, zero-fill all. If unknown or refused, leave all blank.

Description: Identifies the client’s total monthly income.

Guidelines: Enter client’s legal income level only. Include all income contributing to the client’s support, including public assistance (Temporary Assistance to Needy Families [TANF], Aid to the Needy Disabled [AND], Supplemental Security Income [SSI], Old Age Pension [OAP], Food Stamps) and child support payments. If a client is living with a parent but is self-supporting (that is, the client is paying his/her own way), exclude the parent’s income. If a client is dependent upon the parent’s income, include the parent’s income in this figure. Use the most recent full month. Use whole dollars only. If the client has no income, enter zero (0). If the client’s monthly income is unknown or if the client refuses to respond, leave the item blank.

If client’s legal monthly income level is greater than 9999, enter 9999.

Valid Entries: 000-9999

Unknown: Acceptable; designate by leaving the item blank

Refused: Acceptable; designate by leaving the item blank

Not Collected: Not acceptable

Blanks: Acceptable

Field Length: 4

Field Type: Alphanumeric
28. Number of persons living on client’s legal income, including client (must be at least 1):  

Description: Identifies the total number of adults and children who are supported by the client’s legal monthly income, including the client.

Guidelines: If the client is paying child support, include the number of children being supported, even if they are not living with the client.

Valid Entries: 1-99

Unknown: Not acceptable
Refused: Not acceptable
Not Collected: Not acceptable
Blanks: Not acceptable

Field Length: 2
Field Type: Numeric
29. **Number of children (<18 years of age) dependent upon the client:** ____

**Description:** Identifies the number of children whom the client supports financially and otherwise.

**Guidelines:** Enter the number of children the client has for whom the client is financially responsible, and for whom the client has primary parental responsibility. Include:
1) students between the ages of 18-21 who still require financial support for daily living;
2) Persons who are over the age of 18 who have mental or physical disabilities and require support.

**Valid Entries:** 0-98

**Unknown:** Not acceptable

**Refused:** Not acceptable

**Not Collected:** Not Acceptable

**Blanks:** Not Acceptable

**Field Length:** 2

**Field Type:** Numeric
30. Is client serving or has served in military: ___Yes ___No

Description: Identifies whether the client is currently on active duty or was on active duty in one or more of the seven uniformed services or their associated reserves

- United States Army
- United States Marine Corps
- United States Navy
- United States Air Force
- United States Coast Guard
- United States Public Health Service Commissioned Corps
- National Oceanic and Atmospheric Administration Commissioned Corps

Or is currently on active duty or was on active duty in the National Guard

Guidelines:
1. This item refers only to active duty. An individual who served in the National Guard or in one of the Reserves and was never called up for active duty should be recorded as No on this item.
2. This item now includes current active duty in the uniformed services. Previous versions of the DACODS only asked about veteran status.

Valid Entries: Check (X) on the line by “Yes” or “No.”

Unknown: Not Acceptable
Refused: Not Acceptable
Not Collected: Not Acceptable
Blanks: Not Acceptable
Field Length: 1
Field Type: Alpha
31. **Client’s living situation:**

01 – Correctional facility/Jail
02 – Inpatient
03 – ATU, Adults Only
04 – Residential Treatment/Group (Youth)
05 – Foster Home (Youth)
06 – Boarding home (Adult)
07 – Group Home (Adult)
08 – Nursing Home
09 – Residential Facility (MH Adult)
10 – Residential Facility (Other)
11 – Sober Living
12 – Homeless
13 – Supported housing
14 – Assisted Living
15 – Independent Living
16 – Halfway House

**Description:** At the time of admission, identifies the living situation that best describes the client’s status over the past 30 days.

**Guidelines:** Choose only one option.

01 - Correctional facility/Jail

This identifies Detention facilities. It could be State Department of Corrections, or for youth, Division of Youth Corrections facilities, or it could be county or municipal jails.

02 - Inpatient

This identifies a hospital setting. The patient is at the hospital for diagnosis or treatment that requires an overnight stay.

03 - ATU, Adults Only

An Acute Treatment Unit (ATU) is a 24-hour residential treatment facility licensed by the Colorado Department of Public Health and Environment (CDPHE) and monitored by the Office of Behavioral Health (OBH). ATUs may serve as an alternative to inpatient hospitalization when it is determined the client can receive equal benefit at the ATU level of care. They provide a level of care and supervision for individuals who are in need of intensive psychiatric interventions for stabilization.

04 - Residential Treatment/Group (Youth)

05 - Foster Home (Youth)

Foster care is the term used for a system in which a minor who has been made a ward is placed in an institution, group home, or private home of a state-
certified caregiver referred to as a "foster parent". The placement of the child is arranged through the county department of human services. The institution, group home or foster parent is compensated for expenses.

The state via the family court and child protection agency stand in loco parentis to the minor, making all legal decisions while the foster parent is responsible for the day-to-day care of said minor. The foster parent is remunerated by the state for their services.

06 - Boarding home (Adult)

A boarding house is a house (often a family home) in which lodgers rent one or more rooms for one or more nights, and sometimes for extended periods of weeks, months, and years. The common parts of the house are maintained, and some services, such as laundry and cleaning, may be supplied. A "lodging house", also known in the United States as a "rooming house", may or may not offer meals.

07 - Group Home (Adult)

A group home is a private residence designed to serve adults with chronic disabilities. Typically there are no more than six residents and there is a trained caregiver there twenty-four hours a day.

08 - Nursing Home

A nursing home, convalescent home, skilled nursing facility, care home, rest home or intermediate care provides a type of residential care. They are a place of residence for people who require continual nursing care and have significant deficiencies with activities of daily living. Nursing aides and skilled nurses are usually available 24 hours a day.

Residents include the elderly and younger adults with physical or mental disabilities. Residents in a skilled nursing facility may also receive physical, occupational, and other rehabilitative therapies following an accident or illness.

09 - Residential Facility (MH Adult)

This identifies other 24 hour care residential facilities for adults with mental illness. This would be used when the facility is not specifically defined by the other categories, such as ATUs, Assisted Living, or Group Home.

10 - Residential Facility (Other)

These identify all other 24 hour care residential facilities that are not clearly defined by the other options. This could include substance abuse treatment facilities such as ASAM level III.1 and III.5 (transitional residential treatment
and therapeutic communities, respectively), which are often long term settings. If the facility is also Community Corrections facility, it should be identified as a halfway house.

11 - Sober Living

Sober living environments (SLEs) are facilities used by people recovering from substance use disorders, which serve as an interim environment between rehab and a return to their former lives. SLEs grew out of a need to have safe and supportive place for people to live while they were in recovery. They are primarily meant to provide housing for people who have just come out of rehab (or recovery centers) and need a place to live that is structured and supporting for those in recovery. However, it is not necessary to come from rehab. In Colorado, these are not licensed facilities, and are often consumer run, without paid “staff”.

12-Homeless

Client is temporarily or chronically homeless; client has no fixed address; client may be staying at a shelter, living on the streets, or staying with friends. This response is only appropriate for those clients for whom “Homeless” was checked on Item #1 (Zip Code). If client has a zip code of Colorado residence, or lives out of state, then this response cannot be an option.

13 - Supported housing

Supported (or supportive) housing is a combination of housing and services intended as a cost-effective way to help people live more stable, productive lives. Supportive housing is widely believed to work well for those who face the most complex challenges—individuals and families confronted with homelessness and who also have very low incomes and/or serious, persistent issues that may include substance abuse, addiction or alcoholism, mental illness, HIV/AIDS, or other serious challenges to a successful life. Supportive housing can be coupled with such social services as job training, life skills training, alcohol and drug abuse programs, community support services (e.g., child care, educational programs), and case management to populations in need of assistance. Supportive housing is intended to be a pragmatic solution that helps people have better lives while reducing, to the extent feasible, the overall cost of care. As community housing, supportive housing can be developed as mixed income, scattered site housing not only through the traditional route of low income and building complexes.

14 - Assisted Living

Assisted living residences or assisted living facilities are housing facilities for people with disabilities. These facilities provide supervision or assistance with activities of daily living (ADLs); coordination of services by outside health care
providers; and monitoring of resident activities to help to ensure their health, safety, and well-being.

Assistance may include the administration or supervision of medication, or personal care services provided by a trained staff person.

Assisted living as it exists today emerged in the 1990s as an eldercare alternative on the continuum of care for people, for whom independent living is not appropriate but who do not need the 24-hour medical care provided by a nursing home and are too young to live in a retirement home. Assisted living is a philosophy of care and services promoting independence and dignity.

15 - Independent Living

This is used to identify living situation for people who are in a residence by themselves, or with family or a room-mate(s). The residence may be owned or rented by the occupants. For children and adolescents, this should be used when they are living in their parent’s home/place of residence.

16 - Halfway House

Some halfway houses are meant solely for reintegration of persons who have been recently released from prison or jail, others are meant for people with chronic mental health disorders, and most others are for people with substance abuse issues. The state-placement of ex-criminal offenders to a "halfway house" after a prison sentence may either be decided upon as part of the judge's sentence or by a prison official's recommendation. In addition, a direct sentence to a halfway house may be decided upon by a judge or prosecutor in lieu of prison time. In Colorado, we are specifically considering Community Corrections facilities as Halfway Houses. This will distinguish these from Sober Living, and other residential care facilities.

Valid Entries: 01-16
Unknown: Not acceptable
Refused: Not acceptable
Not Collected: Not acceptable
Blanks: Not acceptable
Field Length: 2
Field Type: Numeric
Note: For CSTAT Analysis, the living situation field will be broken down into the following levels:

- **Level 7**
  - 15 – Independent Living

- **Level 6**
  - 13 – Supported housing

- **Level 5**
  - 05 – Foster Home (Youth)
  - 06 – Boarding home (Adult)
  - 07 – Group Home (Adult)
  - 14 – Assisted Living
  - 11 - Sober Living (NEW)
  - 16 - Halfway House (NEW)

- **Level 4**
  - 04 – Residential Treatment/Group (Youth)
  - 09 – Residential Facility (MH Adult)
  - 10 – Residential Facility (Other)

- **Level 3**
  - 08 – Nursing Home

- **Level 2**
  - 03 – ATU, Adults Only

- **Level 1**
  - 01 – Correctional facility/Jail
  - 02 – Inpatient

- **Level 0**
  - 12 – Homeless
32. Client disability (Check all that apply):

____0= None
____1= Developmental Disabilities
____2= Cerebral Palsy
____3= Seizure disorder/Epilepsy
____4= Autism
____5= Other neurological
____6= Developmental delay
____7= Deaf/Hearing Loss
____8= Significant speech impairment/Non-verbal
____9= Blind/Vision Loss
____10= Non-ambulatory
____11= Brain injury
____12= Psychiatric
____13= Down Syndrome
____14= Attention Deficit Disorder
____15= Other

Description: Identifies if client is disabled and specifies the disability.

Guidelines: Base this response on the client’s self-report, clinical observation or assessment, or on the client’s medical or mental health history. Check all that apply.

If the response is 0=None, then no other response can be checked for this item.

Valid Entries: Check (X) on the line next to the appropriate disability

Unknown: Not acceptable
Refused: Not acceptable
Not Collected: Not acceptable
Blanks: Not acceptable

Field Length: 2
Field Type: Alpha
33. Does this client require reasonable accommodation(s) in order to participate in or benefit from treatment?  ___Yes  ___No

Description: Specifies whether or not the client requires special equipment, access, educational materials, interpreters, etc. in order to participate in or benefit from treatment.

Guidelines: Choose only one option. Reasonable accommodations are defined in the Americans With Disabilities Act.

Clinicians and/or clinics may not discourage or otherwise turn away a client from treatment because the client requires reasonable accommodations in order to obtain or benefit from treatment services. Clinicians and/or clinics must provide reasonable accommodations to those clients requiring same, regardless of funding availability.

Valid Entries: Check (X) “Yes” or “No” if client has any disability. Leave item blank if client has no disability.

Unknown: Not acceptable

Refused: Not acceptable

Not Collected: Not acceptable

Blanks: Acceptable only if client has no disability and 0=None was checked in disability item above.

Field Length: 1

Field Type: Alpha
34. If “Yes” to item above, is the clinic providing reasonable accommodation(s)?

___Yes  ___No

Description: Identifies if the clinic or facility is providing reasonable accommodations for this client.

Guidelines: Only one response option may be chosen. Check (X) “Yes” or “No” if client has a disability requiring accommodation. Leave this item blank if client does not have a disability, or a disability requiring accommodation.

Valid Entries: Check (X) on the line next to “Yes” or “No” or leave the item blank according to the Guidelines above.

Unknown: Not acceptable

Refused: Not acceptable

Not Collected: Not acceptable

Blanks: Acceptable only if client does not have a disability, or a disability requiring accommodation.

Field Length: 1

Field Type: Alpha
35. Highest school grade completed: _____ (GED=12; BA=16, etc.)

Description: Identifies the highest grade of formal academic education the client has completed at the time of admission.

Guidelines: 00 = No formal education
08 = Completion of 8th grade
12 = Completion of High School or GED
16 = Completion of Bachelors
18 = Completion of Masters

Example: a response of “08” indicates completion of the 8th grade.

Valid Entries: 00-98
Unknown: Not acceptable
Refused: Not acceptable
Not Collected: Not acceptable
Blanks: Not acceptable
Field Length: 2
Field Type: Numeric (or check (X) for Unknown only)
36. **Current employment status:**

1=Employed full time (35+ hours/week)
2=Employed part time (<35 hours/week)
3=Unemployed
4=Supported Employment
5=Homemaker
6=Student
7=Retired
8=Disabled
9=Inmate
10=Military
11=Volunteer

**Description:** Identifies the client’s employment status at the time of admission.

**Guidelines:** Choose only one option.

01=Employed full time (35+ hours/week)
   Client works 35 hours or more each week. Includes clients on strike whose normal working hours are 35+ hours per week.

02=Employed part time (<35 hours/week)
   Client works an average of less than 35 hours per week.

03=Unemployed
   Client is unemployed. Includes clients who have registered with employment agencies, responded to or placed ads, and/or submitted resumes to potential employers, clients who have been laid off and are waiting for recall from layoff, and clients whose source of support is illegal, such as theft or prostitution.

04=Supported Employment
   Clients with moderate-to-severe levels of disabilities work to become active, wage-earning members of the workforce through the development of employment opportunities and on-going support within an employment situation. This is a formal organized program. Components of supported employment include:
   - Vocational Profile/Assessment
   - Job search
   - Job preparation
   - Job placement
   - Job coaching

05=Homemaker full time, no formal employment

06=Student full time, no formal employment

07=Retired, no formal employment
08=Disabled, no formal employment

09=Inmate
Client is an inmate of an institution or prison that keeps the client, who may be otherwise able, from entering the labor force.

10=Military

11=Volunteer

Coding of clients with overlapping employment statuses (taken from MH National Measures):
When clients are engaged in two or more activities (have overlapping status), use DOL’s system of priorities to determine the appropriate employment status. The prioritization rule is, labor force activities (such as working or looking for work) take precedence over non-labor force activities (such as student and homemaker); and working or having a job takes precedence over looking for work.

Use code 01 or 02 if the client is employed and a student; or employed and retired; or employed and disabled.

Use code 03 if the client is a student and actively searching for work (includes sending out resumes, visiting unemployment centers, interviewing, etc.)

Valid Entries: 01-11
Unknown: Not Acceptable
Refused: Not acceptable
Not Collected: Not acceptable
Blanks: Not acceptable
Field Length: 2
Field Type: Numeric
37. Is client attended school within the past 3 months: ___Yes ___No___Not School Age

Description: Identifies whether the client has attended school within the past 3 months

Guidelines: This measure is required for all clients who are school age. If the client is not school age, then enter “N/A”. This could include people who have completed high school or GED. If client is school age and did not attend any type of schooling in the past 3 months (including formal, alternative, vocational education) then enter “No”. Client attended at least one day of school or more in the past 3 months (including formal, alternative, vocational education).

Valid Entries: Check “Yes”, “No” or “Not School Age”

Unknown: Not Acceptable

Refused: Not Acceptable

Not Collected: Not Acceptable.

Blanks: Not Acceptable. Select “Not School Age” for clients no longer of school age.

Field Length: 1

Field Type: Alpha
38. **Primary source of income/support for client (or client’s parent if client < 18 years of age):**

   1=Wages
   2=Public assistance
   3=Retirement/Pension
   4=Disability
   5=Other
   6=None

**Description:** Identifies the client’s principal source of legal financial support at the time of admission. For children under 18 years of age, this item indicates the parent’s primary source of legal income or support.

**Guidelines:**

1=Wages
   The client’s employment is the primary source of income.

2=Public assistance
   Public assistance includes any state or federal financial support such as welfare, TANF, Food Stamps, Aid to the Needy Disabled, Old Age Pension, etc. This does NOT include alimony, child support, social security payments, Worker’s Compensation, or unemployment benefits.

3=Retirement/pension

4=Disability payments

5=Other
   Includes alimony, child support, social security payments, Worker’s Compensation, unemployment benefits, etc.

6=None

**Valid Entries:** Required. 1-6

**Unknown:** Not acceptable

**Refused:** Not acceptable

**Not Collected:** Not acceptable

**Blanks:** Not acceptable

**Field Length:** 1

**Field Type:** Numeric
39. Primary source of payment for this treatment episode: ______
   1=Self pay
   2=MSO funds
   3=Blue Cross/Blue Shield
   4=Medicare
   5=Medicaid
   6=Active duty military/dependent government health plan
   7=Other government payments (includes TANF and/or core services)
   8=Worker’s Compensation
   9=Other health insurance companies
   10=No charge (free, charity, special research, teaching)
   11=Other
   12=Colorado ATR (Not available for Modalities 7, 14, 15, 16, 17)

Description: Identifies the primary source of payment for THIS treatment episode at the time of admission. “Primary source” is defined as the entity that will pay for the largest portion of this treatment episode.

01=Self pay
   The client is paying for this treatment episode.

02=MSO funds
   Managed Service Organization (MSO) funds subsidize this client’s treatment.

03=Blue Cross/Blue Shield
   This insurance company will pay for the largest portion of this treatment episode.

04=Medicare
   The client is enrolled in Medicare and Medicare will pay for the largest portion of this treatment episode.

05=Medicaid
   The client is enrolled in Medicaid, a public health insurance plan for low-income persons, and Medicaid will pay for the largest portion of this treatment episode.

06=Active duty military/dependent government health plans
   The client has health insurance through the military or as a dependent of someone in the military, and that insurance will pay the largest portion of this treatment episode.

07=Other government payments (includes County Department of Human/Social Services funding from TANF or core services)

08=Worker’s Compensation
The client is receiving benefits due to an injury incurred in the course of his/her employment and those benefits will pay the largest portion of this treatment episode.

09=Other health insurance companies
   The client has health care coverage through a regular indemnity insurance company (other than Blue Cross/Blue Shield), or is enrolled in a managed care plan, and his/her benefits will pay the largest portion of this treatment episode.

10=No charge (free, charity, special research, teaching)
   No charge is assessed for this treatment episode.

11=Other

12=Colorado ATR
   Colorado Access to Recovery provides NO COST substance abuse treatment and recovery support services to individuals who meet the eligibility requirements.
   The following modalities are not eligible for Colorado ATR:
   ORT - Opioid replacement therapy (Modality 7)
   DUI Level I education (Modality 14)
   DUI Level II education only (Modality 15)
   DUI Level II therapy and education (Modality 16)
   MIP - Minors in Possession (Modality 17)
   
   Guidelines: If payment is made by multiple sources, indicate the source paying for the majority of the treatment services. In cases in which the payment is being made equally by two or more sources, enter only one source.

Valid Entries: 01-12

Unknown: Not acceptable

Refused: Not acceptable

Not Collected: Not acceptable

Blanks: Not acceptable

Field Length: 2

Field Type: Numeric
40. Health insurance of client, regardless of payment source for this treatment episode: ______
   1=Client is insured
   2=Client is not insured

Description: Identifies if the client has health insurance.
Guidelines: Choose only one option.
Valid Entries: Required. 1-2
Unknown: Not acceptable
Refused: Not acceptable
Not Collected: Not acceptable
Blanks: Not acceptable
Field Length: 1
Field Type: Numeric
41. If client is insured, does the client’s health insurance cover substance abuse treatment?  ___Yes  ___No

Description: Identifies whether or not the client’s health insurance includes coverage for any kind of substance abuse treatment.

Guidelines: Check (X) “Yes” if the client’s health insurance covers any kind of substance abuse treatment for this client, regardless of the client’s current service needs.

Valid Entries: Check (X) “Yes” or “No” if client is insured. Leave this item blank if client is uninsured.

Unknown: Not acceptable

Refused: Not acceptable

Not Collected: Not acceptable

Blanks: Acceptable if client is uninsured.

Field Length: 1

Field Type: Alpha
42. **Does the client have a current mental health problem in addition to substance abuse: ____Yes ____No ____Unknown**

Description: Identifies if the client has a current mental health problem at the time of admission.

Guidelines: The response to this item is based upon subjective indicators of the clinician’s assessment of the client’s mental health. This judgment may be made from: the initial contact experience as well as any prior knowledge of the client; the clinician’s diagnostic impression; the client’s self-report of a mental health problem; or the clinician’s assessment of the client’s prescription medication regimen.

This item does not refer to nor require the clinician to make a formal Axis I or II diagnosis as defined in the American Psychiatric Association’s Diagnostic and Statistical Manual (DSM) of Mental Disorders.

Valid Entries: Check (X) the line next to “Yes,” “No” or “Unknown”

Unknown: Acceptable

Refused: Not acceptable

Not Collected: Not acceptable

Blanks: Not acceptable

Field Length: 1

Field Type: Alpha
43. Has the client (now or ever) experienced or witnessed a traumatic event

0=No
1=Yes
2=Unable to assess

Description: Indicates whether the client has experienced or witnessed an event that is either universally accepted as traumatic or the client defined as traumatic. If so, this could be a factor in their treatment and recovery, and additional assessment is necessary.

Guidelines: Choose only one option.

0= No

Score as 0 if:
Client has not experienced physical, sexual, emotional abuse or assault and/or
Client has not witnessed physical, sexual or emotional abuse or assault of another person and/or
Client has experienced a potentially traumatic event but they do not define it as traumatic, i.e. car accident, natural disaster, death of a loved one, witnessing a crime, military service, and
Client is developmentally able to understand the question and respond accurately.

1= Yes

Client has experienced physical, sexual or emotional abuse or assault, or
Client has witnessed physical, sexual or emotional abuse or assault of another person.
Client has experienced a potentially traumatic event they define as traumatic, i.e. car accident, natural disaster, death of a loved one, witnessing a crime, military service, witnessing domestic violence.

Client is developmentally able to understand the question and respond accurately.

2=Unable to assess

Behavioral indicators of trauma are present however client denies, refuses to answer, or is unable to endorse, any trauma. Further assessment recommended.
Valid Entries: Required. Valid Values are 0-2.
Unknown: Not Acceptable
Refused: Not Acceptable
Not Collected: Not Acceptable
Blanks: Not Acceptable
Field Length: 1
Field Type: Alpha
44. Transfer or referral source: _____
1=Individual (self, family, friend)
2=Alcohol/drug abuse care provider
3=Other health care provider (e.g., medical, mental)
4=School (educational)
5=Employer
6=Social/Human services
7=Non DUI Criminal Justice (e.g., Probation, Parole/TASC, SB-94, Community Corrections)
8=DUI/DWI Criminal Justice
9=Involuntary commitment
10=Other Community referral
11=Drug Court
12 = STIRRT
13= Crisis System

Description: Describes the person or agency referring the client to the alcohol or substance abuse treatment program.

Guidelines: Choose only one option.

01 = Individual (self, family, friend)
Includes the client, a family member, friend or any other individual who would not be included in any of the following categories. Includes self-referral due to pending DUI/DWI.

02 = Alcohol/drug abuse care provider
Any program, clinic or other health care provider whose principal objective is treating clients with substance abuse problems, or a program whose activities are related to alcohol or other drug abuse prevention, education or treatment.

03 = Other health care provider (e.g., medical, mental)
A physician, psychiatrist or other licensed health care professional; or a general hospital, psychiatric hospital, mental health program or nursing home.

04 = School (educational)
A school principal, counselor or teacher; a school-based clinic; or a student assistance program (SAP), the school system or an educational agency.

05 = Employer
A supervisor, employee counselor or work colleague; or an employee assistance program (EAP).

06 = Social/Human services excluding TANF and Child Welfare
Any federal, state, county or other governmental agency that provides aid in the areas of poverty relief, unemployment, shelter or social welfare.

07=Non DUI Criminal Justice (e.g., Probation, Parole/TASC, SB-94, Community Corrections)
Any police official, judge, prosecutor, probation officer or other person affiliated with a federal, state or county judicial system, related to a non-DUI offense. Includes clients referred in lieu of or for deferred prosecution, or during pretrial release, or before or after official adjudication. Includes clients on pre-parole, pre-release, work or home furlough or TASC. Client need not be “officially” designated as “on parole.”

08=DUI/DWI Criminal Justice
Any police official, judge, prosecutor, probation officer or other person affiliated with a federal, state or county judicial system, Alcohol and Drug Evaluator, related to a Driving Under the Influence [DUI], Driving While Impaired [DWI], Boating Under the Influence [BUI], or Flying Under the Influence [FUI] offense.

09=Involuntary commitment
A civil action initiated by a petitioner and heard in a district court, whereby the client is ordered into treatment and is committed.

10=Other Community referral
Other community or religious organizations, self-help groups such as Alcoholics Anonymous (AA), Al-Anon, or Narcotics Anonymous (NA).

11=Drug Court
A court specifically designed to process drug cases and that specializes in drug law and drug offenders.

12=STIRRT Residential Continuing Care
Services specific to the offender population whereby the client meets a set of specific criteria to meet the admission criteria for residential and continuing care services. Referrals to the STIRRT programs are made through any criminal justice agency for consideration of acceptance by STIRRT programs.

13=Crisis System

Referrals from Crisis response system will be identified when referrals come from either of;

1. **24-Hour Crisis Telephone Lines (including peer warm lines):** Telephone crisis services staffed by skilled professionals to assess and make appropriate referrals; or,
2. **Walk-In Crisis Services/ Crisis Stabilization Unit**: Urgent care services with the capacity for immediate clinical intervention, triage, and stabilization

<table>
<thead>
<tr>
<th>Description</th>
<th>Acceptability</th>
</tr>
</thead>
<tbody>
<tr>
<td>Valid Entries</td>
<td>01-13</td>
</tr>
<tr>
<td>Unknown:</td>
<td>Not acceptable</td>
</tr>
<tr>
<td>Refused:</td>
<td>Not acceptable</td>
</tr>
<tr>
<td>Not Collected:</td>
<td>Not acceptable</td>
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</tr>
<tr>
<td>Field Length:</td>
<td>2</td>
</tr>
<tr>
<td>Field Type:</td>
<td>Numeric</td>
</tr>
</tbody>
</table>
For items #45-48, rate each category according to counselor assessment of severity at admission: (Complete for Tx only, not Detox):

45. **Family issues and problems:** ___
   1=None (issues are temporary and relationships generally positive)
   2=Slight (some issues present; occasional friction or discord)
   3=Moderate (frequent disruptions or turbulence in family functioning)
   4=Severe (extensive disruption of family functioning)

**Description:** Identifies the clinician’s assessment of the client’s skills and functioning level in the family setting at the time of admission.

**Guidelines:** Choose only one option.

Includes the degree of family issues and problems the client is currently experiencing with or in the family. “Family” is defined as relatives or significant others whom the client considers “family” and with whom the client interacts on a frequent or regular basis. The client may or may not be cohabitating with family.

**Valid Entries:** 1-4

**Unknown:** Not acceptable

**Refused:** Not acceptable

**Not Collected:** Acceptable for detox clients; designate by leaving item blank

**Blanks:** Acceptable for detox clients only

**Field Length:** 1

**Field Type:** Numeric
46. Socialization problems: ____
1=None (able to form good relationships with others)
2=Slight (difficulty developing or maintaining relationships)
3=Moderate (inadequate social skills resulting in tenuous and strained relationships)
4=Severe (unable to form relationships)

Description: Identifies the clinician’s assessment of the client’s social skills and ability to function in positive relationships at the time of admission.

Guidelines: Choose only one option.

Valid Entries: 1-4

Unknown: Not acceptable

Refused: Not acceptable

Not Collected: Acceptable for detox clients; designate by leaving item blank

Blanks: Acceptable for detox clients only

Field Length: 1

Field Type: Numeric
47. Education, employment problems: ___
1= None (comfortable and competent in school or at work)
2=Slight (occasional or mild disruption of performance at school or work)
3=Moderate (occasional major or frequent minor disruptions; rarely meets expectations)
4=Severe (serious incapacity, absent motivation and ineffective functioning)

Description: Identifies the clinician’s assessment of the client’s functioning in the educational or employment setting at the time of admission.

Guidelines: Choose only one option.

Valid Entries: 1-4

Unknown: Not acceptable

Refused: Not acceptable

Not Collected: Acceptable for detox clients; designate by leaving item blank

Blanks: Acceptable for detox clients only

Field Length: 1

Field Type: Numeric
Medical/Physical problems: ___
1=None (no physical problems or well-controlled chronic conditions)
2=Slight (occasional or mild problems that interfere with daily living)
3=Moderate (frequent or chronic health problems)
4=Severe (incapacitated due to medical/physical problems)

Description: Identifies the clinician’s assessment of the client’s medical or physical level of functioning at the time of admission.

Guidelines: Choose only one option.

Valid Entries: 1-4

Unknown: Not acceptable

Refused: Not acceptable

Not Collected: Acceptable for detox clients; designate by leaving item blank

Blanks: Acceptable for detox clients only

Field Length: 1

Field Type: Numeric
49. **DRUG TYPE**  Primary ____ Secondary ____ Tertiary ____

<table>
<thead>
<tr>
<th>Code</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>0</td>
<td>None (valid only for differential assessment)</td>
</tr>
<tr>
<td>1</td>
<td>Alcohol</td>
</tr>
<tr>
<td>2</td>
<td>Barbiturate</td>
</tr>
<tr>
<td>3</td>
<td>Benzodiazepine tranquilizer (Valium, Librium, Xanax, etc.)</td>
</tr>
<tr>
<td>4</td>
<td>Clonazepam (Klonopin, Rivotril)</td>
</tr>
<tr>
<td>5</td>
<td>Other sedative/hypnotic (Chloral Hydrate, Dalmane, etc.)</td>
</tr>
<tr>
<td>6</td>
<td>Other tranquilizer</td>
</tr>
<tr>
<td>7</td>
<td>Cocaine Hydrochloride/crack</td>
</tr>
<tr>
<td>8</td>
<td>Methamphetamine (crank, crystal, methedrine, etc.)</td>
</tr>
<tr>
<td>9</td>
<td>Other amphetamine (Benzedrine, Dexadrine, Desoxyn, etc.)</td>
</tr>
<tr>
<td>10</td>
<td>Other stimulant (Ritalin, Sanorex, Adderall, etc.)</td>
</tr>
<tr>
<td>11</td>
<td>Heroin</td>
</tr>
<tr>
<td>12</td>
<td>Non Rx Methadone</td>
</tr>
<tr>
<td>13</td>
<td>Other Opiate/synthetic opiate (Morphine, Codeine, etc./ Demerol, Percodan, etc.)</td>
</tr>
<tr>
<td>14</td>
<td>Marijuana/hashish</td>
</tr>
<tr>
<td>15</td>
<td>LSD</td>
</tr>
<tr>
<td>16</td>
<td>PCP</td>
</tr>
<tr>
<td>17</td>
<td>Other hallucinogens</td>
</tr>
<tr>
<td>18</td>
<td>Inhalant</td>
</tr>
<tr>
<td>19</td>
<td>Over the counter drug</td>
</tr>
<tr>
<td>20</td>
<td>Flunitrazepam (Rohypnol)</td>
</tr>
<tr>
<td>21</td>
<td>Gamma-hydroxybutyrate, gamma-butyrolactone (GHB/GBL)</td>
</tr>
<tr>
<td>22</td>
<td>Ketamine (Special K)</td>
</tr>
<tr>
<td>23</td>
<td>Methylenedioxymethamphetamine (MDMA, ecstasy)</td>
</tr>
<tr>
<td>24</td>
<td>Anabolic Steroid</td>
</tr>
<tr>
<td>25</td>
<td>Other</td>
</tr>
<tr>
<td>26</td>
<td>Bupenorphine</td>
</tr>
<tr>
<td>27</td>
<td>Nicotine (Cannot be used for primary)</td>
</tr>
</tbody>
</table>

**Description:**
- **Primary** - Identifies the client’s primary drug, or that substance considered to be the primary cause of the client’s dysfunction at the time of admission.
- **Secondary** - Identifies the choice of secondary drug, if any, used by the client at time of admission.
- **Tertiary** - Identifies the choice of tertiary drug, if any, used by the client at time of admission.

**Guidelines:**
- Choose only one option for Primary, one for Secondary (if appropriate) and one for Tertiary (if appropriate).
- Write in the numeric code of the drug on the line next to “Primary,” “Secondary,” (if appropriate) and “Tertiary (if appropriate).” Do not write the drug name.
- “0 = None” may only be used for the Primary Drug Type if the modality is “0 = Differential Assessment”. All other responses for Primary Drug Type must be one of the 1-26 options listed above.
- “0 = None” must be used as the response for Secondary and/or Tertiary drug types if the client does not use a Secondary or Tertiary drug.

**Valid Entries:**
- 0-27 (27 is only valid for secondary and tertiary drug)

**Unknown:**
- Not acceptable
Refused: Not acceptable
Not Collected: Not acceptable
Blanks: Not acceptable
Field Length: 2
Field Type: Numeric
50. Clinician’s Diagnostic Impression   Primary ___ Secondary ___ Tertiary ___

1=Use
2=Abuse
3=Dependence
0=Unknown

Description: Identifies the clinician’s assessment of the client’s substance problem.

Guidelines: Choose one option for Primary, one for Secondary and one for Tertiary. “0=Unknown”

1=Use
The client uses this substance but is not yet abusing or dependent upon this substance.

2=Abuse
The client is abusing this substance according to the definition of abuse in the most recent edition of the Diagnostic and Statistical Manual of Mental Disorders DSM-IV-TR)

3=Dependence
The client is dependent upon this substance according to the definition of dependence in the most recent edition of the Diagnostic and Statistical Manual of Mental Disorders DSM-IV-TR)

The response to this item is based upon subjective indicators of the clinician’s assessment of the client’s substance use patterns. The DSM-IV definitions are used as reference tools only. Responding to this item does not imply or infer that the clinician can or has made a formal Axis I or Axis II diagnosis.

If the Primary drug type response is “0=None,” leave this item blank. If the client has no Secondary or Tertiary drug type, leave the Secondary or Tertiary portions of this item blank.

Valid Entries:  Primary  0-3  
                Secondary  0-3  
                Tertiary   0-3

Unknown: Acceptable:  
          • for detox

Refused: Not acceptable

Not Collected: Not acceptable

Blanks: Acceptable for “Primary” if the modality is “0=Differential Assessment.” and Primary Drug Type = “0 = None”.


Acceptable for “Secondary” or “Tertiary” if the client had no “Secondary” or “Tertiary” drug type.

Field Length: 1
Field Type: Numeric
51. How many days in the last 30 days did you use your Primary/Secondary/Tertiary substance?
Primary _____  Secondary _____  Tertiary _____

Description: Identifies the number of days the client used his/her Primary, Secondary (if appropriate) and Tertiary (if appropriate) substance during the 30 calendar days before admission.

Guidelines: Response cannot be greater than 30.

Count the number of days used only. Do not count the number of times in a day the client used.

If client had no “Secondary” or “Tertiary” drug type, leave the “Secondary” or “Tertiary” portions of this item blank.

Valid Entries: 0-30

Unknown: Not acceptable

Refused: Not acceptable

Not Collected: Not acceptable

Blanks: Acceptable for “Primary” if the modality is “0=Differential Assessment.” and Primary Drug Type = “0 = None”.

Acceptable for “Secondary” or “Tertiary” if the client had no “Secondary” or “Tertiary” drug type.

Field Length: 2

Field Type: Numeric
52. Usual route of administration during most recent abuse period on admission:
   Primary ____ Secondary ____ Tertiary ____
   1=Oral
   2=Smoking (pipe/cigarette)
   3=Inhalation (nose/mouth)
   4=Injection (IV/IM)
   5=Other

Description: Identifies the client’s usual route of administration of his/her Primary, Secondary and Tertiary substance.

Guidelines: Write only the numeric code of the client’s usual route of administration on the line next to “Primary,” “Secondary” and “Tertiary.”

1=Oral
   Drinking or eating

2=Smoking
   May use a pipe, cigarette, or some other apparatus

3=Inhalation
   Insufflations via the nose or mouth; does not include smoking

4=Injection
   Intravenous, intramuscularly, subcutaneous, or any other administration involving the use of needles

5=Other
   Any method of drug administration not described by any of the above codes

Valid Entries: 1-5

Unknown: Not acceptable

Refused: Not acceptable

Not Collected: Not acceptable

Blanks: Acceptable for “Primary” if the modality is “0=Differential Assessment.” and Primary Drug Type = “0 = None”.

          Acceptable for “Secondary” or “Tertiary” if the client had no “Secondary” or “Tertiary” drug type.

Field Length: 1

Field Type: Numeric
53. Age first used; If Alcohol, Age first intoxicated:
Primary ___  Secondary ___ Tertiary ___

Description: For drugs, this field identifies the age at which the client first used
his/her Primary, Secondary and Tertiary substance(s). For alcohol, this field identifies the age at which the client was first intoxicated.

Guidelines: Enter the client's age at first drug use or first alcohol intoxication on the
line next to Primary, Secondary and Tertiary, if appropriate. If client
had no Secondary and/or Tertiary drug, leave line next to Secondary
and/or Tertiary blank.

Do NOT enter the year of first use or first intoxication. Age of first use/intoxication must be less than or equal to client’s current age. Age of first/intoxication must not be greater than client’s current age.

Valid Entries: 0-99

Unknown: Not acceptable

Refused: Not acceptable

Not Collected: Not acceptable

Blanks: Acceptable for “Primary” if the modality is “0=Differential Assessment.” and Primary Drug Type = “0 = None”.

Acceptable for “Secondary” or “Tertiary” if the client had no “Secondary” or “Tertiary” drug type.

Field Length: 2

Field Type: Numeric (or check (X) for Unknown only)
54. Source of illicit drugs (check one)
   1=Family
   2=School
   3=Friends
   4=Jail/prison
   5=Internet
   6=Entertainment event (bars, clubs, parties, raves, concerts, etc.)
   7= Stranger/street vendor
   8=Refused
   9=Unknown
   10=Prescription

Description: Identifies the client’s primary source from whom or from which they obtain(ed) their drugs

Guidelines: If the client’s Primary Drug is “none” or “alcohol” this item may be coded as “9 = Unknown”

Valid Entries: 1-10

Unknown: Allowed if the client’s Primary Drug is “none” or “alcohol”

Refused: Allowed as response number 8

Not Collected: Allowed if the client’s Primary Drug is “none” or “alcohol”

Blanks: Allowed if the client’s Primary Drug is “none” or “alcohol”
In the 6 months prior to admission, how many times did the client

55. Visit a medical emergency room: _____  Unknown _____
56. Get admitted to a medical hospital: _____  Unknown _____
57. Visit a psychiatric emergency room: _____  Unknown _____
58. Get admitted to a psychiatric hospital: _____  Unknown _____

Description: Identifies the amount of medical and psychiatric emergency and inpatient services the client utilized in the 6 months prior to this admission.

Guidelines: Enter the number of times the client visited a medical or psychiatric emergency room, and the number of times the client was admitted to a medical or psychiatric inpatient setting in the 6 months prior to this admission. These visits/admissions may or may not be related to substance use, abuse or dependency.

If the client had more than 98 visits or admissions, enter 98.

If unknown, check (X) the appropriate line next to “Unknown.”

Valid Entries: 0-98, X

Unknown: Acceptable

Refused: Not acceptable

Not Collected: Not acceptable

Blanks: Not acceptable

Field Length: 2

Field Type: Numeric (or check (X) for Unknown only)
59. Number of DUI/DWAI arrests in the last 30 days prior to this admission: _____

Description: Identifies the number of drinking and driving arrests the client received during the 30 days prior to this admission.

Guidelines: Enter the number of drinking and driving arrests the client received during the 30 day period prior to this admission. An arrest does not imply a conviction. Include the number of BUI and FUI arrests in this response.

If the client was not arrested for drinking and driving during this time period, enter zero (0).

Valid Entries: 0-96

Unknown: Not acceptable

Refused: Not acceptable

Not Collected: Not acceptable

Blanks: Not acceptable

Field Length: 2

Field Type: Numeric (or check (X) for Unknown only)
60. Number of all other arrests in the last 30 days prior to this admission: ____

Description: Identifies the number of all arrests of any type except DUI/DWAI/BUI/FUI during the 30 day period prior to this admission.

Guidelines: This includes arrests for offenses involving the illegal sale, possession, distribution and/or manufacture of drugs, underage drinking, and arrests not related to substance use or abuse. Arrest does not imply conviction.

If the client was not arrested for any non-DUI/DWAI offenses, enter zero (0).

Valid Entries: 0-96

Unknown: Not acceptable

Refused: Not acceptable

Not Collected: Not acceptable

Blanks: Not acceptable

Field Length: 2

Field Type: Numeric (or check (X) for Unknown only)
61. Frequency of attendance at self help programs in 30 days prior to Admission: _____

Description: The number of times client has attended self-help program in the 30 days preceding the date of admission to treatment. Includes attendance at AA, NA, and other self-help/mutual support groups focused on recovery from substance abuse and dependence.

Guidelines: Enter 0-30 Required

Valid Entries: 0-30
Unknown: Not acceptable
Refused: Not acceptable
Not Collected: Not acceptable
Blanks: Not acceptable
Field Length: 2
Field Type: Numeric
62. Is client covered by the Interstate Compact?
   _____Yes   _____No

Description: Identifies if the client offended in another state and the court in that state ordered the client to receive substance abuse treatment in Colorado.

Guidelines: Check (X) the line next to “Yes” or “No.” Choose only one option.

Valid Entries: X

Unknown: Not acceptable

Refused: Not acceptable

Not Collected: Acceptable for detox clients only; designate by leaving item blank

Blanks: Acceptable for detox clients only; designate by leaving item blank

Field Length: 1

Field Type: Alpha
63. Tobacco use status:

1= current smoker/tobacco user – every day
2= current smoker/tobacco user – periodically
3= former smoker/tobacco user
4= never smoker/tobacco user
5= smoker/tobacco user - current status unknown
6= unknown if ever smoked/used

Description: Intended to identify the person’s current tobacco use at the time report is administered.

Guidelines: Choose only one option.

1- Current smoker/tobacco user - every day
Person identifies as either smoking or using any smokeless tobacco product daily.

2- current smoker/tobacco user - periodically
Person identifies as either smoking or using any smokeless tobacco product at least once monthly.

3- former smoker/tobacco user
Person identifies as having been a past regular smoker or user of any smokeless tobacco product, with at least 30 days of abstinence.

4- never smoker/tobacco user
Person identifies as never being even a periodic smoker or user of any smokeless tobacco product.

5- smoker/tobacco user-current status unknown
Interviewer is unable to ascertain the current use status of the person. This would be appropriate when the person refuses to respond, or is unable to provide the information. This could be used when there is other evidence of some use, but the level is not known.

6- unknown if ever smoked/used tobacco
Interviewer is unable to ascertain the past tobacco use history of the person. This would be appropriate when the person refuses to respond, or is unable to provide information. This could be used when there is no evidence of current use.
<table>
<thead>
<tr>
<th>Field Type</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Valid Entries:</td>
<td>1-6</td>
</tr>
<tr>
<td>Unknown:</td>
<td>Not acceptable</td>
</tr>
<tr>
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<tr>
<td>Blanks:</td>
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</tr>
<tr>
<td>Field Length:</td>
<td>1</td>
</tr>
<tr>
<td>Field Type:</td>
<td>Alpha</td>
</tr>
</tbody>
</table>
64. Statutory Commitment at admission: 
   0=None (no commitment or holding procedure)
   1=Emergency Commitment (detox clinics ONLY)
   2=Involuntary Commitment to non-detox treatment

Description: Identifies if the client was admitted under an emergency or an involuntary commitment at the time of admission.

Guidelines: OBH will automatically populate this field with zero (0).
**DISCHARGE** (#65-104)

65. SSPA#: ____ (1-7)

Description: SubState Planning Area (SSPA) region of clinic in which service originates.

Guidelines:
1=Northeast Colorado
2=Denver area
3=Colorado Springs area
4=Southeast Colorado
5=Western Slope - South
6=Western Slope - North
7=Boulder area

Write only the code number of the region. Do not write the region's name. Only one region is allowed. SSPA Number on discharge must be identical to the SSPA Number at admission.

Valid Entries: 1-7

Unknown: Not acceptable
Refused: Not acceptable
Not Collected: Not acceptable
Blanks: Not acceptable
Field Length: 1
Field Type: Numeric
Description: Admission is defined as the client’s first face-to-face therapeutic service with the counselor. Therapeutic service includes the differential assessment.

Guidelines: The admission date on the discharge form must be identical to the admission date on the admission form for this client. Any discrepancy will result in a mismatch error being sent back to the clinician for correction.

Valid Entries: MM DD CCYY
Valid entries must have two numerical digits for the month, two for the day, and four for the year.

Unknown: Not acceptable
Refused: Not acceptable
Not Collected: Not acceptable
Blanks: Not acceptable
Field Length: 8
Field Type: Date
67. Report Type ___ (D - Treatment Discharge; X - Detox Discharge)

Description: D = Treatment Discharge
Data pertains to client’s status at discharge from treatment.

X = Detox Discharge
Data pertains to client’s status at discharge from detox.

Guidelines: Clients admitted into treatment (admission Report Type “A”) must be discharged under Report Type “D.”

Clients admitted for detox (admission Report Type “X”) must be discharged under discharge Report Type “X.”

A discharge DACODS should be completed on any client:
  o who transfers to a modality different from the one under which they were admitted;
  o who transfers to a different clinic or facility with a different license number from the admitting clinic or facility;
  o who completes the course of treatment or detox and is formally discharged by a counselor;
  o whose clinical record shows no activity in 90 days and after follow-up the clinician feels the client will not pursue further service.

Detox Discharges: OBH’s Guidelines for releasing/discharging clients from detox are available on the OBH web site, www.cdhs.state.co.us/OBH

Valid Entries: D, X
Unknown: Not acceptable
Refused: Not acceptable
Not Collected: Not acceptable
Blanks: Not acceptable
Field Length: 1
Field Type: Alpha
68. Clinic/Provider License number: __ __ __ __-__ __

Description: Identifies the provider of the alcohol or drug treatment service.

Guidelines: The clinic/provider license number on the discharge form must be identical to the clinic/provider license number on the admission form. Any discrepancy will result in a mismatch error that will be returned to the clinician for correction.

Clinic/provider identification is the six-digit license number assigned to a facility/clinic by OBH. For facilities/clinics with multiple locations, the first four digits may be identical, but the last two digits are unique numbers specific to individual locations or sites.

Valid Entries: 9999-99
Four numerical digits, a hyphen, and two numerical digits

Unknown: Not acceptable
Refused: Not acceptable
Not Collected: Not acceptable
Blanks: Not acceptable
Field Length: 7
Field Type: Alphanumeric
69. **Medicaid ID Number:**

**Description:** Client’s Medicaid Identifier; to be completed whenever client has a Medicaid identifier, regardless of whether or not Medicaid is the primary payer for these current services.

**Guidelines:** Up to 7 spaces are provided.

**Valid Entries:** X999999

**Unknown:** Allowed as blanks only if client does not have Medicaid ID and/or Primary Source of Payment (item #39 is not response #5, Medicaid)

**Refused:** Not acceptable

**Not Collected:** Not acceptable

**Blanks:** Allowed only if client does not have Medicaid ID and/or Primary Source of Payment (item #39 is not response #5, Medicaid)

**Field Length:** 7

**Field Type:** Alphanumeric
70. Provider Client Number: __ __ __ __ __ __ __ __ __

Description: This is an optional data element designed to assist programs to identify client records and link them with DACODS. This identifier is created by the facility/clinic, and should be a unique number for that facility/clinic. If not collected or not used, leave item blank.

Guidelines: Up to 10 spaces are provided.

Valid Entries: XXXXXXXXXX

Unknown: Allowed as blanks

Refused: Not acceptable

Not Collected: Allowed as blanks

Blanks: Allowed

Field Length: 10

Field Type: Alphanumeric
Client Information (#71-73)

71. Last Name: _________________________________________
    First Name: ______________________  M.I. ________

Description: Identifies the client who is being discharged.

Guidelines: The client’s name (Last Name, First Name and Middle Initial) on the discharge form must be identical to the client’s name on the admission form. Any discrepancy will result in a mismatch error that will be returned to the clinician for correction. Please check the admission record before entering the client’s name. Spelling and punctuation must be identical.

Last Name - up to 40 spaces provided; double last names may include a hyphen or space; last names may have a space followed by “Jr.” or “II” or some other designation.

First Name - up to 23 spaces provided; double first names may include a hyphen or space.

Middle Initial - only 1 space provided; no hyphens, spaces or punctuation allowed. If client does not have a Middle Initial, leave this line blank. (Middle Initial can only be left blank on discharge if it was also blank on admission.)

Valid Entries: Last Name - XXXXXXXXXXX or XXXXX-XXXX, XX or XXXXX XXXX
First Name - XXXXXXXXXXX or XXXXX-XXXX, or XXXXX XXXX
Middle Initial - X

Unknown: Acceptable for Middle Initial only

Refused: Acceptable for Middle Initial only

Not Collected: Acceptable for Middle Initial only

Blanks: One blank is acceptable between multiple last or first names; blanks are acceptable for Middle Initial

Field Length: Last Name - 40
First Name - 23
Middle Initial - 1

Field Type: Last Name - alpha
First Name - alpha
Middle Initial - alpha
74. **Date of birth:**  __/__/____/______

**Description:** Identifies client’s birth date

**Guidelines:** Client’s date of birth on the discharge form must be identical to the client’s date of birth on the admission form. Any discrepancy will result in a mismatch error that will be returned to the clinician for correction.

**Valid Entries:** MM DD CCYY
Valid entries must have two digits for the month, two digits for the day, and four digits for the year.

**Unknown:** Not acceptable for treatment clients; Unknowns accepted for detox only and designated by leaving item blank

**Refused:** Not Acceptable

**Not Collected:** Not Acceptable

**Blanks:** Not Acceptable

**Field Length:** 8
75. Social Security Number: __ __ __ - __ __ - __ __ __ __

Description: Identifies the client’s social security number.

Guidelines: Enter the client’s entire social security number in the 9 spaces provided. The client’s social security number on the discharge form must be identical to the client’s social security number on the admission form. Any discrepancy will result in a mismatch error that will be returned to the clinician for correction.

Valid Entries: 999-99-9999
3 digits, a hyphen, 2 digits, a hyphen, and 4 digits

Unknown: Allowed if client does not have social security number; leave blank

Refused: Not acceptable

Not Collected: Not acceptable

Blanks: Allowed if client does not have social security number; leave blank

Field Length: 11

Field Type: Alphanumeric
76. Zip code: __ __ __ __-__ __ __ __

     ___Homeless       ___Out of State zip

Description: Enter the 5 or 9-digit zip code of client’s Colorado residence at the time of discharge; if client is homeless or lives out of state, leave zip code blank and check the appropriate line.

Guidelines: If the 5 or 9 digit zip code is completed, then both Homeless and Out of State zip must be blank.

If the client is homeless, leave the zip code blank and check the line before Homeless. If the client lives out of state, leave the zip code blank and check the line before Out of State. If the client is both homeless and from out of state, check “Homeless.”

Valid Entries: 99999 or 99999-9999
                Five numerical digits
                Or 5 digits, a hyphen and 4 digits.

Unknown: Not acceptable

Refused: Not acceptable

Not Collected: Not acceptable

Blanks: Acceptable only if Client is Out of State or Homeless

Field Length: 5 or 10

Field Type: Alphanumeric
77. **Discharge Modality: ____**

0= Differential Assessment
1= Ambulatory medical detox
2= Residential (non-hospital) detox (RDX)
3= Therapeutic community (TC)
4= Intensive residential (IRT)
5= Transitional residential (TRT)
6= Opioid replacement therapy (ORT)
7= Traditional Outpatient (OP)
8= STIRRT
9= Intensive Outpatient (IOP)
10= Day treatment (DAY)
11= Medically managed inpatient other than detox
12= Medically managed inpatient detox
13= DUI Level I education
14= DUI Level II education only
15= DUI Level II therapy and education
16= Minors in Possession (MIP) treatment

**Description:**
The modality or type of service from which the client is being discharged.

0= Differential Assessment
   Formal evaluation by counselor to determine type of substance abuse treatment needed and ASAM level of care. (Most clients receive a Differential Assessment during their intake. This modality refers to clients who ONLY receive the Differential Assessment and are determined to be inappropriate for substance abuse treatment.) (No corresponding ASAM Level)

1= Ambulatory medical detox
   Outpatient treatment services providing for safe withdrawal in an ambulatory setting. ASAM Level I-D and Level II-D

2= Residential (non-hospital) detox (RDX)
   24 hour per day services in non-hospital setting providing for safe withdrawal and transition to ongoing treatment. ASAM Level III.2-D

3= Therapeutic community (TC)
   High-intensity residential program designed to address significant problems with living skills in a highly-structured recovery environment, utilizing the treatment community as the change agent modeling and enforcing appropriate values and behaviors. Treatment is specific to maintaining abstinence and preventing relapse, but also vigorously promotes personal responsibility and positive character change over a typical period of 9 to 18 months. ASAM Level III.5
Standardized Offender Assessment Level 6

4= Intensive residential (IRT)
Planned residential treatment regimen of 24-hour professionally directed evaluation, care and treatment of addicted persons in an inpatient setting typically lasting 30 days or less. ASAM Level III.7  Standardized Offender Assessment Level 5

5= Transitional residential (TRT)
Low-intensity professional addiction treatment services offered at 5 or more hours per week in a structured, 24-hour staffed residential recovery environment. Clients are typically required to work and attend recovery skills sessions over a period of 1 to 3 months. ASAM Level III.1  Standardized Offender Assessment Level 4

6= Opioid replacement therapy (ORT)
Ambulatory pharmacological treatment service for opiate-addicted clients designed to address client need to increase level of functioning, including elimination of illicit opiate use. ASAM Level OMT

7= Traditional Outpatient (OP)
Organized non-residential treatment provided in a variety of settings for fewer than 9 treatment contact hours per week for adults, and fewer than 6 treatment contact hours per week for minors. ASAM Level 1 Standardized Offender Assessment Level 3

8= Short Term Intensive Remedial Residential Treatment (STIRRT)
Specialized residential treatment for offenders in an inpatient setting typically lasting less than 30 days. (No corresponding ASAM Level)  Standardized Offender Assessment Level 5

9= Intensive Outpatient (IOP)
Nine or more hours per week for adults, and 6 or more hours per week for minors, of structured intensive substance abuse programming in which psychiatric and medical needs may also be addressed. ASAM Level II.1 Standardized Offender Assessment Level 4

10= Day treatment (DAY)
Twenty or more hours of clinically intensive programming per week in an ambulatory setting. ASAM Level II.5  Standardized Offender Assessment Level 4

11= Medically managed inpatient other than detox
Twenty-four hour medically-directed substance abuse treatment (excluding detox) provided in an acute care inpatient or hospital setting. ASAM Level IV
12= Medically managed inpatient detox
   24 hour per day intensive medical acute care services in a hospital setting for detoxification for persons with severe medical complications associated with withdrawal. ASAM Level IV-D

13= DUI Level 1 education
   Twelve hours of outpatient instruction for DUI/DWAI, BUI or FUI offenders placed in accordance with the ADDS program clinical procedures. No more than 6 hours shall be conducted in one calendar day.

14= DUI Level II education only
   Twenty-four hours of outpatient therapeutic education provided over 8 to 12 weeks with an emphasis on group process for DUI/DWAI, BUI or FUI offenders placed in accordance with the ADDS guidelines.

15= DUI Level II therapy and education
   Twenty-four hours of outpatient therapeutic education plus recommended Track A, B, C or D of therapy. Clients must satisfy a specific number of education and therapy hours required over a specific number of months, as determined by BAC and the number of prior offenses, per ADDS guidelines.

16= Minors in Possession (MIP) treatment
   Outpatient education and treatment provided to youth receiving an underage drinking ticket. First offense groups are required to complete a minimum of 6 hours of education; second offense requires a minimum of 12 hours; and third and subsequent offenses require a minimum of 20 hours of treatment services. All offenses require completion of additional court-ordered services.

Guidelines:
   Write in only one discharge modality per DACODS. The discharge modality must be identical to the modality in which the client was admitted. Any other discrepancy will result in a mismatch error that will be returned to the clinician for correction.

   If client is being discharged from two or more modalities simultaneously, then one DACODS form for each modality must be completed at time of discharge from those modalities.

   Differential Assessment
   This response code should be used if the Differential Assessment is the ONLY service the client received before discharge. The client was not referred for nor received treatment services of any kind, nor was the client placed on a waiting list for services. (Clients who are referred for treatment but who never show up for treatment or who only attend one or two sessions of treatment should NOT be discharged as Differential
Assessment clients. These clients should be discharged from the modality in which they were admitted.

Acceptable treatment modalities are:
0=Differential Assessment
3=Therapeutic Community
4=Intensive Residential
5=Transitional Residential
6=Opioid Replacement Therapy
7=Traditional Outpatient
8=STIRRT
9=Intensive Outpatient
10=Day Treatment
11=Medically Managed Inpatient other than detox
16=Minors in Possession (MIP) treatment

Acceptable detox modalities are:
1=Ambulatory Medical Detox
2=Residential (non-hospital) Detox
12=Medically Managed Inpatient Detox

Acceptable modalities for DUI providers are:
13=DUI Level I Education
14=DUI Level II Education only
15=DUI Level II Therapy and Education

Valid Entries: 0-16
Unknown: Not acceptable
Refused: Not acceptable
Not Collected: Not acceptable
Blanks: Not acceptable
Field Length: 2
Field Type: Numeric
78. **Current employment status:**

1 = Employed full time (35+ hours/week)
2 = Employed part time (<35 hours/week)
3 = Unemployed
4 = Supported Employment
5 = Homemaker
6 = Student
7 = Retired
8 = Disabled
9 = Inmate
10 = Military
11 = Volunteer

**Description:** Identifies the client’s employment status at the time of discharge.

**Guidelines:** Choose only one option.

01 = Employed full time (35+ hours/week)
Client works 35 hours or more each week. Includes clients on strike whose normal working hours are 35+ hours per week.

02 = Employed part time (<35 hours/week)
Client works an average of less than 35 hours per week.

03 = Unemployed
Client is unemployed. Includes clients who have registered with employment agencies, responded to or placed ads, and/or submitted resumes to potential employers, clients who have been laid off and are waiting for recall from layoff, and clients whose source of support is illegal, such as theft or prostitution.

04 = Supported Employment
Clients with moderate-to-severe levels of disabilities work to become active, wage-earning members of the workforce through the development of employment opportunities and on-going support within an employment situation. This is a formal organized program. Components of supported employment include:
- Vocational Profile/Assessment
- Job search
- Job preparation
- Job placement
- Job coaching

05 = Homemaker full time, no formal employment

06 = Student full time, no formal employment
07=Retired, no formal employment

08=Disabled, no formal employment

09=Inmate
   Client is an inmate of an institution or prison that keeps the client, who may be otherwise able, from entering the labor force.

10=Military

11=Volunteer

Coding of clients with overlapping employment statuses (taken from MH National Measures):
When clients are engaged in two or more activities (have overlapping status), use DOL’s system of priorities to determine the appropriate employment status. The prioritization rule is, labor force activities (such as working or looking for work) take precedence over non-labor force activities (such as student and homemaker); and working or having a job takes precedence over looking for work.

Use code 01 or 02 if the client is employed and a student; or employed and retired; or employed and disabled.

Use code 03 if the client is a student and actively searching for work (includes sending out resumes, visiting unemployment centers, interviewing, etc.)

Valid Entries: 01-11

Unknown: Not Acceptable

Refused: Not acceptable

Not Collected: Not acceptable

Blanks: Not acceptable

Field Length: 2

Field Type: Numeric
79.  At time of discharge, does the client have a current mental health problem in addition to substance abuse: ___Yes ___No ___Unknown

Description: Identifies if the client has a current mental health problem at the time of discharge.

Guidelines: The response to this item is based upon subjective indicators of the clinician’s assessment of the client’s mental health. This judgment may be made from: contact experience with the client during treatment or detox; prior knowledge of or experience with the client; the clinician’s diagnostic impression; the client’s self-report of a mental health problem; or the clinician’s assessment of the client’s prescription medication regimen.

This item does not refer to nor require the clinician to make a formal Axis I or II diagnosis as defined in the American Psychiatric Association’s Diagnostic and Statistical Manual (DSM) of Mental Disorders.

Valid Entries: Check (X) the line next to “Yes,” “No” or “Unknown”

Unknown: Acceptable
Refused: Not acceptable
Not Collected: Not acceptable
Blanks: Not acceptable

Field Length: 1

Field Type: Alpha
80. **Client’s Monthly Income at discharge:** __________________

   Enter total gross legal income during most recent full month. Use whole dollars only. If no income, zero-fill all. If unknown or refused, leave all blank.

**Description:** Identifies the client’s total monthly income at time of discharge.

**Guidelines:** Enter client’s legal income level only. Include all income contributing to the client’s support, including public assistance (TANF, AND, SSI, OAP, Food Stamps) and child support payments. If a client is living with a parent but is self-supporting (that is, the client is paying his/her own way), exclude the parent’s income. If a client is dependent upon the parent’s income, include the parent’s income in this figure. Use the most recent full month. Use whole dollars only. If the client has no income, enter zero (0). If the client’s monthly income is unknown or if the client refuses to respond, leave the item blank.

   If client’s legal monthly income level is greater than 9999, enter 9999.

**Valid Entries:** 000-9999 or blank

**Unknown:** Acceptable; designate by leaving the item blank

**Refused:** Acceptable; designate by leaving the item blank

**Not Collected:** Not acceptable

**Blanks:** Acceptable

**Field Length:** 4

**Field Type:** Alphanumeric
81. **Number of persons living on client’s legal income, including client (must be at least 1): _________**

**Description:** Identifies the total number of adults and children who are supported by the client’s legal monthly income, including the client, at the time of client’s discharge from treatment or detox.

**Guidelines:** If the client is paying child support, include the number of children being supported, even if they are not living with the client.

**Valid Entries:** 1-99

**Unknown:** Not acceptable

**Refused:** Not acceptable

**Not Collected:** Not acceptable

**Blanks:** Not acceptable

**Field Length:** 2

**Field Type:** Numeric
82. Number of children (<18 years of age) dependent upon the client: ____

Description: Identifies the number of children whom the client supports financially or otherwise at the time of discharge.

Guidelines: Enter the number of children the client has for whom the client is financially responsible, and for whom the client has primary parental responsibility. Include:
1) Students between the ages of 18-21 who still require financial support for daily living;
2) Persons who are over the age of 18 who have mental or physical disabilities and require support.

Valid Entries: 0-98, 99 is unknown

Unknown: Acceptable for detox clients only; designate by entering 99

Refused: Acceptable for detox clients only; designate by entering 99

Not Collected: Not Acceptable

Blanks: Not Acceptable

Field Length: 2

Field Type: Numeric
83. Client’s living situation: _____

01 – Correctional facility/Jail
02 – Inpatient
03 – ATU, Adults Only
04 – Residential Treatment/Group (Youth)
05 – Foster Home (Youth)
06 – Boarding home (Adult)
07 – Group Home (Adult)
08 – Nursing Home
09 – Residential Facility (MH Adult)
10 – Residential Facility (Other)
11 - Sober Living
12 – Homeless
13 – Supported housing
14 – Assisted Living
15 – Independent Living
16 - Halfway House

Description: At the time of discharge, identifies the living situation that best describes the client’s status over the past 30 days.

Guidelines: Choose only one option.

01 - Correctional facility/Jail

This identifies Detention facilities. It could be State Department of Corrections, or for youth, Division of Youth Corrections facilities, or it could be county or municipal jails.

02 - Inpatient

This identifies a hospital setting. The patient is at the hospital for diagnosis or treatment that requires an overnight stay.

03 - ATU, Adults Only

An Acute Treatment Unit (ATU) is a 24-hour residential treatment facility licensed by the Colorado Department of Public Health and Environment (CDPHE) and monitored by the Office of Behavioral Health (OBH). ATUs may serve as an alternative to inpatient hospitalization when it is determined the client can receive equal benefit at the ATU level of care. They provide a level of care and supervision for individuals who are in need of intensive psychiatric interventions for stabilization. 04 - Residential Treatment/Group (Youth)

05 - Foster Home (Youth)
Foster care is the term used for a system in which a minor who has been made a ward is placed in an institution, group home, or private home of a state-certified caregiver referred to as a “foster parent”. The placement of the child is arranged through the county department of human services. The institution, group home or foster parent is compensated for expenses.

The state via the family court and child protection agency stand in loco parentis to the minor, making all legal decisions while the foster parent is responsible for the day-to-day care of said minor. The foster parent is remunerated by the state for their services.

06 - Boarding home (Adult)

A boarding house is a house (often a family home) in which lodgers rent one or more rooms for one or more nights, and sometimes for extended periods of weeks, months, and years. The common parts of the house are maintained, and some services, such as laundry and cleaning, may be supplied. A “lodging house”, also known in the United States as a "rooming house", may or may not offer meals.

07 - Group Home (Adult)

A group home is a private residence designed to serve adults with chronic disabilities. Typically there are no more than six residents and there is a trained caregiver there twenty-four hours a day.

08 - Nursing Home

A nursing home, convalescent home, skilled nursing facility, care home, rest home or intermediate care provides a type of residential care. They are a place of residence for people who require continual nursing care and have significant deficiencies with activities of daily living. Nursing aides and skilled nurses are usually available 24 hours a day.

Residents include the elderly and younger adults with physical or mental disabilities. Residents in a skilled nursing facility may also receive physical, occupational, and other rehabilitative therapies following an accident or illness.

09 - Residential Facility (MH Adult)

This identifies other 24 hour care residential facilities for adults with mental illness. This would be used when the facility is not specifically defined by the other categories, such as ATUs, Assisted Living, or Group Home.

10 - Residential Facility (Other)
These identify all other 24 hour care residential facilities that are not clearly defined by the other options. This could include substance abuse treatment facilities such as ASAM level III.1 and III.5 (transitional residential treatment and therapeutic communities, respectively), which are often long term settings. If the facility is also Community Corrections facility, it should be identified as a halfway house.

11 - Sober Living

Sober living environments (SLEs) are facilities used by people recovering from substance use disorders, which serve as an interim environment between rehab and a return to their former lives. SLEs grew out of a need to have safe and supportive place for people to live while they were in recovery. They are primarily meant to provide housing for people who have just come out of rehab (or recovery centers) and need a place to live that is structured and supporting for those in recovery. However, it is not necessary to come from rehab. In Colorado, these are not licensed facilities, and are often consumer run, without paid “staff”.

12 - Homeless

Client is temporarily or chronically homeless; client has no fixed address; client may be staying at a shelter, living on the streets, or staying with friends. This response is only appropriate for those clients for whom “Homeless” was checked on Item #14 (Zip Code). If client has a zip code of Colorado residence, or lives out of state, then this response cannot be an option.

13 - Supported housing

Supported (or supportive) housing is a combination of housing and services intended as a cost-effective way to help people live more stable, productive lives. Supportive housing is widely believed to work well for those who face the most complex challenges—individuals and families confronted with homelessness and who also have very low incomes and/or serious, persistent issues that may include substance abuse, addiction or alcoholism, mental illness, HIV/AIDS, or other serious challenges to a successful life. Supportive housing can be coupled with such social services as job training, life skills training, alcohol and drug abuse programs, community support services (e.g., child care, educational programs), and case management to populations in need of assistance. Supportive housing is intended to be a pragmatic solution that helps people have better lives while reducing, to the extent feasible, the overall cost of care. As community housing, supportive housing can be developed as mixed income, scattered site housing not only through the traditional route of low income and building complexes.

14 - Assisted Living
Assisted living residences or assisted living facilities are housing facilities for people with disabilities. These facilities provide supervision or assistance with activities of daily living (ADLs); coordination of services by outside health care providers; and monitoring of resident activities to help to ensure their health, safety, and well-being.

Assistance may include the administration or supervision of medication, or personal care services provided by a trained staff person.

Assisted living as it exists today emerged in the 1990s as an eldercare alternative on the continuum of care for people, for whom independent living is not appropriate but who do not need the 24-hour medical care provided by a nursing home and are too young to live in a retirement home. Assisted living is a philosophy of care and services promoting independence and dignity.

15 - Independent Living

This is used to identify living situation for people who are in a residence by themselves, or with family or a room-mate(s). The residence may be owned or rented by the occupants. For children and adolescents, this should be used when they are living in their parent’s home/place of residence.

16 - Halfway House

Some halfway houses are meant solely for reintegration of persons who have been recently released from prison or jail, others are meant for people with chronic mental health disorders, and most others are for people with substance abuse issues. The state-placement of ex-criminal offenders to a "halfway house" after a prison sentence may either be decided upon as part of the judge's sentence or by a prison official's recommendation. In addition, a direct sentence to a halfway house may be decided upon by a judge or prosecutor in lieu of prison time. In Colorado, we are specifically considering Community Corrections facilities as Halfway Houses. This will distinguish these from Sober Living, and other residential care facilities.

Valid Entries: 01-16
Unknown: Not acceptable
Refused: Not acceptable
Not Collected: Not acceptable
Blanks: Not acceptable
Field Length: 2
Field Type: Numeric
84. Date of last face-to-face contact with client: __ __ / __ __ / __ __ __ __

M  M     D  D     C  C   Y   Y

Description: Specifies the date the clinician last saw the client in a face-to-face
treatment or detox service.

Guidelines: This date may be the same as the date of discharge if the last time the
clinician personally interacted with the client was to discharge him/her.

The date of last face-to-face contact must be greater than or equal to the
admission date.

Valid Entries: mmddccyy

Valid entries must have two numerical digits for the month, two for the day, and four for the
year.

Unknown: Not acceptable

Refused: Not acceptable

Not Collected: Not acceptable

Blanks: Not acceptable

Field Length: 8

Field Type: Date
85. **Discharge date for this episode: __ __ / __ __ / __ __ __ __**

**M M D D C C Y Y**

**Description:** For clients active in treatment services this item specifies the month, day and year when the client was formally discharged from those services. For clients whose last face-to-face contact was prior to their discharge date, this item specifies the date on which the decision was made to formally discharge the client.

**Guidelines:** The discharge date must be equal to or greater than the date of last contact. The discharge date may be greater than the date of last contact if: the client left treatment or detox against professional advice (dropped out); or the client’s record indicates no activity within the last consecutive 90 days. The discharge date must be equal to or greater than the client’s admission date.

**Valid Entries:** MMDDCCYY

Valid entries must have two numerical digits for the month, two for the day, and four for the year.

**Unknown:** Not acceptable

**Refused:** Not acceptable

**Not Collected:** Not acceptable

**Blanks:** Not acceptable

**Field Length:** 8

**Field Type:** Date
86. **Discharge Status: ____**
   1 = Treatment completed at this facility
   2 = Transferred or referred to another substance abuse treatment program
   3 = Treatment not completed at this facility

**Description:** Indicates the outcome of the treatment or service, or the reason for transfer or discontinuance of treatment or service.

**Guidelines:** Choose only one option.

1 = Treatment completed at this facility
   The client completed his/her course of treatment or service at this facility.

2 = Transferred or referred to another substance abuse treatment program or facility
   The client is transferred or referred to another substance abuse treatment program for completion of their course of treatment or service.

3 = Treatment not completed at this facility.
   The client did not complete his/her course of treatment or service at this facility.

**Valid Entries:** 1-3

**Unknown:** Not acceptable

**Refused:** Not acceptable

**Not Collected:** Not acceptable

**Blanks:** Not acceptable

**Field Length:** 1

**Field Type:** Numeric
87. Reason for discharge: ____ (For clients who did not complete treatment)

1=Attendance
2=Client Decision
3=Client stopped coming and contact efforts failed
4=Financial/Payments
5=Lack of Progress
6=Medical Reasons
7=Military Deployment
8=Moved
9=Incarcerated
10=Died
11=Agency closed/No longer in business

Description: Indicates the outcome of the treatment or service, or the reason for transfer or discontinuance of treatment or service. This is required field for clients who did not complete treatment (Discharge Status =3). For clients who completed treatment (Discharge Status =1) or been transferred (Discharge Status =2) this field must be blank.

Guidelines: Choose only one option.

01=Attendance
  The client was not following attendance guidelines/regulations,
  Missed too many sessions.

02=Client Decision
  The client left treatment or service against professional advice;
  he/she dropped out or walked away from treatment.
  AWOL/Absconded

03=Client Discontinued Attending and Contact Efforts failed
  The client has stopped attending their treatment and the provider has been unable to contact them.

04=Financial/Payments
  The client is unable to keep their financial obligations to continue treatment. Funding has ended and client has no other way to pay for treatment.

05=Lack of Progress
  The client is not making progress in achieving treatment goals. A new course of treatment may be required. This represents the clinician’s decision.

06=Medical Reasons
  Treatment was discontinued due to medical reasons.
07= Military deployment
   Client left area due to a military service/deployment.

08=Moved
   The client moved and can no longer attend treatment at this facility.

09=Incarcerated
   The client’s course of treatment was terminated because the client has been incarcerated or placed in detention.

10=Died
   The client died during the course of treatment or service.

11=Agency closed; no longer in business.
   The agency is no longer in business.

Valid Entries: 1-11

Unknown: Not acceptable if Discharge Status = 3

Refused: Not acceptable

Not Collected: Not acceptable if Discharge Status = 3

Blanks: Not acceptable if Discharge Status = 3

Field Length: 2

Field Type: Numeric
88. **Statutory Commitment at any time during treatment:**

0=None
1=Emergency Commitment (detox clinics ONLY)
2=Involuntary Commitment to non-detox treatment

**Description:** Identifies if the client was admitted with an emergency or an involuntary commitment or was placed under an emergency or involuntary commitment during the course of treatment.

**Guidelines:** OBH will automatically populate this field with zero (0).

**Valid Entries:**
- Unknown:
- Refused:
- Not Collected:
- Blanks:

**Field Length:** 1

**Field Type:** Numeric
89. **DRUG TYPE**  

<table>
<thead>
<tr>
<th>Primary</th>
<th>Secondary</th>
<th>Tertiary</th>
</tr>
</thead>
<tbody>
<tr>
<td>0=None (valid only for differential assessment)</td>
<td>14=Marijuana/hashish</td>
<td></td>
</tr>
<tr>
<td>1=Alcohol</td>
<td>15=LSD</td>
<td></td>
</tr>
<tr>
<td>2=Barbiturate</td>
<td>16=PCP</td>
<td></td>
</tr>
<tr>
<td>3=Benzodiazepine tranquilizer (Valium, Librium, Xanax, etc.)</td>
<td>17=Other hallucinogens</td>
<td></td>
</tr>
<tr>
<td>4=Clonazepam (Klonopin, Rivotril)</td>
<td>18=Inhalant</td>
<td></td>
</tr>
<tr>
<td>5=Other sedative/hypnotic (Chloral Hydrate, Dalmane, etc.)</td>
<td>19=Over the counter drug</td>
<td></td>
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<td>6=Other tranquilizer</td>
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<td>21=Gamma-hydroxybutyrate, gamma-butyrolactone (GHB/GBL)</td>
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<td>8=Methamphetamine (crank, crystal, methedrine, etc.)</td>
<td>22=Ketamine (Special K)</td>
<td></td>
</tr>
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<td>9=Other amphetamine (Benzedrine, Dexadrine, Desoxyn, etc.)</td>
<td>23=Methylenedioxymethamphetamine (MDMA, ecstasy)</td>
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<td>10=Other stimulant (Ritalin, Sanorex, Adderall, etc.)</td>
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</tr>
<tr>
<td>(Morphine, Codeine, etc./ Demerol, Percodan, etc.)</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Description:**

Primary - Identifies the client’s primary drug, or that substance considered to be the primary cause of the client’s dysfunction at the time of admission. The Primary Drug Type at discharge must be identical to the Primary Drug Type at admission.

Secondary - Identifies the choice of secondary drug, if any, used by the client at time of admission. The Secondary Drug Type at discharge must be identical to the Secondary Drug Type at admission.

Tertiary - Identifies the choice of tertiary drug, if any, used by the client at time of admission. The Tertiary Drug Type at discharge must be identical to the Tertiary Drug Type at admission.

**Guidelines:**

Choose only one option for Primary, one for Secondary (if appropriate) and one for Tertiary (if appropriate).

Write in the numeric code of the drug on the line next to “Primary,” “Secondary,” (if appropriate) and “Tertiary (if appropriate).” Do not write the drug name.

“0=None” as a response option may be used for Primary drug type if the client was a Differential Assessment only.
“0=None” may be used as a response option for Secondary and/or Tertiary drug types if the client does not use a Secondary or Tertiary drug.

Valid Entries: 0-27

Unknown: Not acceptable
Refused: Not acceptable
Not Collected: Not acceptable
Blanks: Not acceptable
Field Length: 2
Field Type: Numeric
90.  How many days in the last 30 days did you use your Primary/Secondary/Tertiary substance?
     Primary ____   Secondary ____   Tertiary ____

Description: Identifies the number of days the client used his/her Primary, Secondary (if appropriate) and Tertiary (if appropriate) substance during the last 30 calendar days of treatment.

Guidelines: Response cannot be greater than 30.

Count the number of days used only. Do not count the number of times in a day the client used.

If client had no “Secondary” or “Tertiary” drug type, leave the “Secondary” or “Tertiary” portions of this item blank.

Valid Entries: 0-30

Unknown: Not acceptable

Refused: Not acceptable

Not Collected: Not acceptable

Blanks: Acceptable for “Primary” if the modality is “0=Differential Assessment.” and Primary Drug Type = “0 = None”.

Acceptable for “Secondary” or “Tertiary” if the client had no “Secondary” or “Tertiary” drug type

Field Length: 2

Field Type: Numeric
Usual route of administration during treatment:
Primary ____ Secondary ____ Tertiary ____
0=None
1=Oral
2=Smoking (pipe/cigarette)
3=Inhalation (nose/mouth)
4=Injection (IV/IM)
5=Other

Description: Identifies the client’s usual route of administration of his/her Primary, Secondary and Tertiary substance during treatment.

Guidelines: Write only the numeric code of the client’s usual route of administration on the line next to “Primary,” “Secondary” and “Tertiary.”

0=None
   The client abstained from all drugs during treatment

1=Oral
   Drinking or eating

2=Smoking
   May use a pipe, cigarette, or some other apparatus

3=Inhalation
   Insufflations via the nose or mouth; does not include smoking

4=Injection
   Intravenous, intramuscularly, subcutaneous, or any other administration involving the use of needles

5=Other
   Any method of drug administration not described by any of the above codes

Valid Entries: 1-5

Unknown: Not acceptable

Refused: Not acceptable

Not Collected: Not acceptable

Blanks: Acceptable for “Primary” if the modality is “0=Differential Assessment.” and Primary Drug Type = “0 = None”.

112
Acceptable for “Secondary” or “Tertiary” only if the client had no Secondary or Tertiary Drug Type.

Field Length: 1

Field Type: Numeric
92. Progress at time of discharge toward written, measurable treatment goals: ____

1=Client completed Differential Assessment only  
   (Client intake completed and treatment service recommendations made,  
   but no other services received)  
2=High achievement of treatment goals  
3=Moderate achievement of treatment goals  
4=Minimal achievement of treatment goals

Description: Identifies the client’s progress towards and degree of achievement of written, measurable treatment goals.

Guidelines: The response to this item should be based on the clinician’s assessment of the client’s progress towards his/her treatment goals. Choose only one option.

1=Client completed Differential Assessment only  
   If this response is chosen, then the Discharge Modality response must be “0=Differential Assessment,”.

Clients who are referred for treatment but who never show up or who only attend one or two treatment sessions should NOT be discharged as Differential Assessment clients.

2=High achievement of treatment goals  
   The client completed all phases of the treatment plan established at the beginning of this treatment episode, or completed between 66-100% (2/3 to all) the goals of this treatment modality.

3=Moderate achievement of treatment goals  
   The client completed between 33-66% (1/3 to 2/3) of their treatment goals in this treatment modality.

4=Minimal achievement of treatment goals  
   The client completed less than 33% (1/3) of their treatment goals in this modality.

Valid Entries: 1-4

Unknown: Not acceptable

Refused: Not acceptable

Not Collected: Not acceptable

Blanks: Not acceptable

Field Length: 1

Field Type: Numeric
During the last 6 months of treatment, or during the length of treatment, whichever was less, how many times did the client:

93. Visit a medical emergency room: _____  Unknown _____
94. Get admitted to a medical hospital: _____  Unknown _____
95. Visit a psychiatric emergency room: _____  Unknown _____
96. Get admitted to a psychiatric hospital: _____  Unknown _____

Description: Identifies the amount of medical and psychiatric emergency and inpatient services the client utilized in the last 6 months of treatment, or during the length of treatment if it was less than 6 months.

Guidelines: Enter the number of times the client visited a medical or a psychiatric emergency room, and the number of times the client was admitted to a medical or psychiatric inpatient setting in the last 6 months of treatment or during the course of treatment if treatment was less than 6 months. These visits/admissions may or may not be related to substance use, abuse, or dependency.

If the client had more than 98 visits/admissions during this treatment, enter 98.

If unknown, check (X) the appropriate line next to “Unknown.”

Valid Entries: 0-98, X

Unknown: Acceptable; designate by checking (X) the appropriate line next to “Unknown”

Refused: Not acceptable

Not Collected: Not acceptable

Blanks: Not acceptable

Field Length: 2

Field Type: Numeric (or check (X) for Unknown only)
97. Number of DUI/DWAI arrests in the last 30 days prior to this discharge: _____

Description: Identifies the number of drinking and driving arrests the client received during the 30 days prior to this discharge or during the course of treatment if that treatment encounter was less than 30 days.

Guidelines: Enter the number of drinking and driving arrests the client received during the 30 day period prior to this admission. An arrest does not imply a conviction. Include the number of BUI and FUI arrests in this response.

If the client was not arrested for drinking and driving during this time period, enter zero (0).

Valid Entries: 0-96

Unknown: Not acceptable

Refused: Not acceptable

Not Collected: Not acceptable

Blanks: Not acceptable

Field Length: 2

Field Type: Numeric (or check (X) for Unknown only)
98. **Number of all other arrests in the last 30 days prior to this discharge: ____**

**Description:** Identifies the number of all arrests of any type except DUI/DWAI/BUI/FUI during the 30 days prior to this discharge or during the course of treatment if that treatment encounter was less than 30 days.

**Guidelines:** This includes arrests for offenses involving the illegal sale, possession, distribution and/or manufacture of drugs, underage drinking, and arrests not related to substance use or abuse. Arrest does not imply conviction.

If the client was not arrested for any non-DUI/DWAI offenses, enter zero (0).

**Valid Entries:** 0-96

**Unknown:** Not acceptable

**Refused:** Not acceptable

**Not Collected:** Not acceptable

**Blanks:** Not acceptable

**Field Length:** 2

**Field Type:** Numeric (or check (X) for Unknown only)
99. Frequency of attendance at self-help programs in 30 days prior to Discharge: ____

Description: The number of times client has attended a self help program in the 30 days preceding the date of discharge from treatment services. Includes attendance at AA, NA, and other self-help/mutual support groups focused on recovery from substance abuse and dependence.

Guidelines: Enter 0-30 Required

Valid Entries: 0-30
Unknown: Not acceptable
Refused: Not acceptable
Not Collected: Not acceptable
Blanks: Not acceptable
Field Length: 2
Field Type: Numeric
For items #100-103, rate each category according to counselor assessment of severity at discharge (Complete for Tx only, not Detox):

100. Family issues and problems  
     1= None (issues are temporary and relationships generally positive)  
     2= Slight (some issues present; occasional friction or discord)  
     3= Moderate (frequent disruptions or turbulence in family functioning)  
     4= Severe (extensive disruption of family functioning)  

Description: Identifies the clinician’s assessment of the client’s skills and functioning level in the family setting at the time of discharge.

Guidelines: Choose only one option.

Includes the degree of family issues and problems the client is currently experiencing with or in the family. “Family” is defined as relatives or significant others whom the client considers “family” and with whom the client interacts on a frequent or regular basis. The client may or may not be cohabitating with family.

Valid Entries: 1-4

Unknown: Not acceptable

Refused: Not acceptable

Not Collected: Acceptable for detox clients; designate by leaving item blank

Blanks: Acceptable for detox clients only

Field Length: 1

Field Type: Numeric
101. Socialization problems: ___
   1=None (able to form good relationships with others)
   2=Slight (difficulty developing or maintaining relationships)
   3=Moderate (inadequate social skills resulting in tenuous and strained relationships)
   4=Severe (unable to form relationships)

Description: Identifies the clinician’s assessment of the client’s social skills and ability to function in positive relationships at the time of discharge.

Guidelines: Choose only one option.

Valid Entries: 1-4

Unknown: Not acceptable

Refused: Not acceptable

Not Collected: Acceptable for detox clients; designate by leaving item blank

Blanks: Acceptable for detox clients only

Field Length: 1

Field Type: Numeric
102. **Education, employment problems:**

1. None (comfortable and competent in school or at work)
2. Slight (occasional or mild disruption of performance at school or work)
3. Moderate (occasional major or frequent minor disruptions; rarely meets expectations)
4. Severe (serious incapacity, absent motivation and ineffective functioning)

**Description:** Identifies the clinician’s assessment of the client’s functioning in the educational or employment setting at the time of discharge.

**Guidelines:** Choose only one option.

**Valid Entries:** 1-4

**Unknown:** Not acceptable

**Refused:** Not acceptable

**Not Collected:** Acceptable for detox clients; designate by leaving item blank

**Blanks:** Acceptable for detox clients only

**Field Length:** 1

**Field Type:** Numeric
103. **Medical/Physical problems: ____**
   1= None (no physical problems or well-controlled chronic conditions)
   2= Slight (occasional or mild problems that interfere with daily living)
   3= Moderate (frequent or chronic health problems)
   4= Severe (incapacitated due to medical/physical problems)

**Description:** Identifies the clinician’s assessment of the client’s medical or physical level of functioning at the time of discharge.

**Guidelines:** Choose only one option.

**Valid Entries:** 1-4

**Unknown:** Not acceptable

**Refused:** Not acceptable

**Not Collected:** Acceptable for detox clients; designate by leaving item blank

**Blanks:** Acceptable for detox clients only

**Field Length:** 1

**Field Type:** Numeric
104. Number of Outpatient hours client had during this treatment episode

_____ hours  _____ minutes

(Do NOT include Day Treatment or Opioid Replacement Therapy)
(Round to 15 minute increments)

Description: Identifies the total number of hours the client received for Outpatient services (excluding Day Treatment and Opioid Maintenance Therapy) during this treatment episode.

Guidelines: Enter the number of hours and minutes. Round minutes to 15 minutes increments. Examples: 5 hours, 15 minutes
2 hours, 30 minutes
14 hours, 45 minutes

Do not use decimals or fractions.

This item applies ONLY to Discharge Modality responses “7=Traditional Outpatient” and “9=Intensive Outpatient.”

Blanks are not acceptable if the client is being discharged from one of these two modalities.

If client did not have any outpatient services, leave item blank.

Valid Entries: 0-9999 for hours
00, 15, 30, 45 for minutes

Unknown: Not acceptable
Refused: Not acceptable
Not Collected: Not acceptable
Blanks: Acceptable for all modalities (Item #69) except “7=Traditional Outpatient” and “9=Intensive Outpatient”

Field Length: 6
Field Type: Numeric
Description: SubState Planning Area (SSPA) region of clinic in which service originates.

Guidelines: 1=Northeast Colorado 2=Denver area 3=Colorado Springs area 4=Southeast Colorado 5=Western Slope - South 6=Western Slope - North 7=Boulder area

Write only the code number of the region. Do not write the region’s name. Only one region is allowed.

Valid Entries: 1-7
Unknown: Not acceptable
Refused: Not acceptable
Not Collected: Not acceptable
Blanks: Not acceptable
Field Length: 1
Field Type: Numeric
106. Admission date: ____/__/__ __ __ __
     M  M  D  D  C  C  Y  Y

Description: Admission is defined as the client’s first face-to-face therapeutic service with the counselor. Therapeutic service includes the differential assessment.

Guidelines: The admission date cannot be greater than the current date.

Valid Entries: MM DD YYYY

Valid entries must have two numerical digits for the month, two for the day, and four for the year.

Unknown: Not acceptable

Refused: Not acceptable

Not Collected: Not acceptable

Blanks: Not acceptable

Field Length: 8

Field Type: Date
107. Report type: ____ (A-Treatment Admission; X-Detox Admission)

Description:  
A= Admission  
Data pertains to client’s status at admission to treatment; admission section (items #1-#58) should be initiated at the time of client's first face-to-face therapeutic service with a counselor (including differential assessment), and completed by the end of the third outpatient session or third day for residential modalities.  

X=Detox  
Data pertains to client’s status at admission and client is admitted for detoxification or withdrawal services only. Clients admitted to detoxification services must be intoxicated, under the influence, or in mild to moderate stages of withdrawal from alcohol and/or other drugs.

Guidelines: An admission DACODS must be completed when any of the following occur:  
- the client is admitted to treatment or detox services;  
- the modality changes;  
- the location of services changes such that the Clinic/Provider license number is different

Valid Entries:  
A, X

Unknown: Not acceptable

Refused: Not acceptable

Not Collected: Not acceptable

Blanks: Not acceptable

Field Length: 1

Field Type: Alpha
108. **Clinic/Provider License number: ___ ___ ___-___ ___**

**Description:** Identifies the provider of the alcohol or drug treatment service.

**Guidelines:** Clinic/provider identification is the six-digit license number assigned to a facility/clinic by OBH. For facilities/clinics with multiple locations, the first four digits may be identical, but the last two digits are unique numbers specific to individual locations or sites.

**Valid Entries:** XXXX-XX
Four numerical digits, a hyphen, and two numerical digits

**Unknown:** Not acceptable

**Refused:** Not acceptable

**Not Collected:** Not acceptable

**Blanks:** Not acceptable

**Field Length:** 7

**Field Type:** Alphanumeric
Provider Client Number: __ __ __ __ __ __ __ __

Description: This is an optional data element designed to assist programs to identify client records and link them with DACODS. This identifier is created by the facility/clinic, and should be a unique number for that facility/clinic. If not collected or not used, leave item blank.

Guidelines: Up to 10 spaces are provided.

Valid Entries: XXXXXXXXXX

Unknown: Allowed as blanks

Refused: Not acceptable

Not Collected: Allowed as blanks

Blanks: Allowed

Field Length: 10

Field Type: Alphanumeric
Client Information (#110-112)

110. Last Name: __________________________________________
First Name: ___________________________________________ M.I. _________

Description: A “client” is a person who meets the following criteria:
1. has an alcohol or drug related problem and
2. has formally completed a differential assessment or
3. has been formally admitted to an alcohol or drug treatment unit for treatment or detox services, and
4. has his/her own client record.

Guidelines: Last Name - up to 40 spaces provided; double last names may include a hyphen or space; last names may have a space followed by “Jr.” or “II” or some other designation.
First Name - up to 23 spaces provided; double first names may include a hyphen or space.
Middle Initial - only 1 space provided; no hyphens, spaces or punctuation allowed. If client does not have a Middle Initial, leave this line blank.

Valid Entries: Last Name - XXXXXXXXXX or XXXX-XXXX, XX or XXXX XXXX
First Name - XXXXXXXXXX or XXXX-XXXX, or XXXX XXXX
Middle Initial - X

Unknown: Acceptable for Middle Initial only
Refused: Acceptable for Middle Initial only
Not Collected: Acceptable for Middle Initial only
Blanks: One blank is acceptable between multiple last or first names; blanks are acceptable for Middle Initial

Field Length: Last Name - 40
First Name - 23
Middle Initial - 1

Field Type: Last Name - alpha
First Name - alpha
Middle Initial - alpha
113. Date of birth: __/__/__ __ __ __ __ __

Description: Identifies client’s birth date

Guidelines: Client’s date of birth must be at least 5 years less than (or prior to) the admission date.

Valid Entries: MM DD CCYY
Valid entries must have two digits for the month, two digits for the day, and four digits for the year.

Unknown: Not acceptable for treatment clients;
Unknowns accepted for detox only and designated by leaving item blank

Refused: Not Acceptable

Not Collected: Not Acceptable

Blanks: Not Acceptable.

Field Length: 8

Field Type: Date
114. **Social Security Number: ___ ___-___-___ ___ ___**

**Description:** Identifies the client’s social security number.

**Guidelines:** Enter the client’s entire social security number in the 9 spaces provided.

**Valid Entries:** XXX-XX-XXXX
3 digits, a hyphen, 2 digits, a hyphen, and 4 digits

**Unknown:** Allowed as blanks only if client does not have Social Security Number

**Refused:** Allowed as blanks only if client does not have Social Security Number

**Not Collected:** Allowed as blanks only if client does not have Social Security Number

**Blanks:** Allowed

**Field Length:** 11

**Field Type:** Alphanumeric
Description: Evaluation is defined as the date the client is evaluated for pregnancy screening.

Guidelines: The evaluation date cannot be greater than the current date.

Valid Entries: MM DD /CCYY

Valid entries must have two numerical digits for the month, two for the day, and four for the year.

Unknown: Not acceptable

Refused: Not acceptable

Not Collected: Not acceptable

Blanks: Not acceptable

Field Length: 8

Field Type: Date
116. **Chronological age:** ___
- 0 - 34 to 45
- 2 - 15 to 20
- 4 - 21 to 33

**Description:** Identifies the client’s chronological age. The system will then give a rating to that answer based on the risk to the fetus. The rating is shown above and the value is 0, 2 or 4.

**Guidelines:** Choose only one option.

**Valid Entries:** 34 to 45, 15-20 or 21-33

**Unknown:** Not acceptable

**Refused:** Not acceptable

**Not Collected:** Not acceptable

**Blanks:** Not acceptable

**Field Length:** 1

**Field Type:** Numeric
117. Age of first use of any drugs or alcohol: ___
   0 - 34 to 45
   2 - 21 to 33
   4 - 15 to 20
   5 - under 15 years old

Description: Identifies the client’s age of first use of drugs or alcohol. The system will then give a rating to that answer based on the risk to the fetus. The rating is shown above and the value is 0, 2, 4 or 5.

Guidelines: Choose only one option.

Valid Entries: 34 to 45, 21-33, 15-20 or under 15 years of age

Unknown: Not acceptable

Refused: Not acceptable

Not Collected: Not acceptable

Blanks: Not acceptable

Field Length: 1

Field Type: Numeric
### 118. DRUG TYPE

<table>
<thead>
<tr>
<th>DRUG TYPE</th>
<th>Primary</th>
<th>Secondary</th>
</tr>
</thead>
<tbody>
<tr>
<td>0=None (valid only for differential assessment)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>1=Alcohol (Value =4)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2=Barbiturate (Value =3)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>3=Benzodiazepine tranquilizer (Valium, Librium, Xanax, etc.) (Value =3)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>4=Clonazepam (Klonopin, Rivotril) (Value =3)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>5=Other sedative/hypnotic (Chloral Hydrate, Dalmame, etc.) (Value =3)</td>
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<td></td>
<td></td>
</tr>
<tr>
<td>8=Methamphetamine (crank, crystal, methedrine, etc.) (Value =2)</td>
<td></td>
<td></td>
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<td></td>
<td></td>
</tr>
<tr>
<td>14=Marijuana/hashish (Value =1)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>15=LSD (Value =1)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>16=PCP (Value =1)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>17=Other hallucinogens (Value =1)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>18=Inhalant (Value =3)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>19=Over the counter drug (Value =1)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>20=Flunitrazepam (Rohypnol) (Value =3)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>21=Gamma-hydroxybutyrate, gamma-butyrolactone (GHB/GBL) (Value =3)</td>
<td></td>
<td></td>
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<tr>
<td>22=Ketamine (Special K) (Value =3)</td>
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<td></td>
<td></td>
</tr>
<tr>
<td>24=Anabolic Steroid (Value =4)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>25=Other (Value =3)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>26=Buprenorphine (Value =2)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>27=Nicotine (Cannot be used for primary) (Value =4)</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Description:**

Primary - Identifies the client’s primary drug, or that substance considered to be the primary cause of the client’s dysfunction at the time of admission.

Secondary - Identifies the choice of secondary drug, if any, used by the client at time of admission.

The user will select a specific drug and then that drug is put into a category of a drug type and given a value. The system gives a rating to that answer based on the risk to the fetus. The ratings are 0, 1, 2, 3, or 4.

The values/groups are:

1. THC/Hallucinogens
2. Opiates/amphetamines/stimulants
3. Barbiturates/inhalants/tranquilizers/sedatives
4. Cocaine/alcohol
Guidelines: Choose only one option for Primary or one for Secondary (if appropriate)

Select whichever Drug Type from the drop down that was selected for the Admission for drug on the line next to “Primary,” and “Secondary,” (if appropriate). 

“0=None” may only be used for the Primary Drug Type if the modality in Item #69 is “0=Differential Assessment”. All other responses for Primary Drug Type must be one of the 1-26 and 0-27 for Secondary options listed above.

Valid Entries: 0-27

Unknown: Not acceptable

Refused: Not acceptable

Not Collected: Not acceptable

Blanks: Not acceptable

Field Length: 2

Field Type: Numeric
119. Frequency of use of drugs: ___
   1 Monthly
   2 Weekly; three to five times
   3 Daily
   4 Three or more times daily, binging

Description: Identifies the client’s frequency of use of drugs or alcohol. The system will then give a rating to that answer based on the risk to the fetus. The rating is shown above and the value is 1, 2, 3, or 4.

Guidelines: Choose only one option.

Valid Entries: Monthly, weekly, daily and three or more times daily/binging

Unknown: Not acceptable

Refused: Not acceptable

Not Collected: Not acceptable

Blanks: Not acceptable

Field Length: 1

Field Type: Numeric
120. Pregnancy status: ___
   0 First trimester
   3 Second trimester
   4 Third trimester

Description: Identifies the client’s pregnancy status. The system will then give a rating to that answer based on the risk to the fetus. The rating is shown above and the value is 0, 3, or 4.
Guidelines: Choose only one option.
Valid Entries: First trimester, second trimester and third trimester
Unknown: Not acceptable
Refused: Not acceptable
Not Collected: Not acceptable
Blanks: Not acceptable
Field Length: 1
Field Type: Numeric
121. Pregnancy care status: ___
   0 Already receiving prenatal care routinely
   1 Already receiving prenatal care but not consistently
   2 Not receiving prenatal care but willing to access
   3 Not receiving prenatal care

Description: Identifies the client’s pregnancy care status. The system will then give a rating to that answer based on the risk to the fetus. The rating is shown above and the value is 0, 1, 2, or 3.
Guidelines: Choose only one option.
Valid Entries: Already receiving prenatal care routinely, already receiving prenatal care but not consistently, not receiving prenatal care but willing to access and not receiving prenatal care.
Unknown: Not acceptable
Refused: Not acceptable
Not Collected: Not acceptable
Blanks: Not acceptable
Field Length: 1
Field Type: Numeric
122. Access to other drug/alcohol treatment: __
   0 Has access to treatment
   4 Limited or no access to treatment

Description: Identifies the client’s access to other treatment. The system will then give a rating to that answer based on the risk to the fetus. The rating is shown above and the value is 0 or 4.
Guidelines: Choose only one option.
Valid Entries: Access to treatment or limited/no access to treatment.
Unknown: Not acceptable
Refused: Not acceptable
Not Collected: Not acceptable
Blanks: Not acceptable
Field Length: 1
Field Type: Numeric
123. Family support: ___
0 Strong
2 Moderate
4 Minimal or none

Description: Identifies the client’s family support. The system will then give a rating to that answer based on the risk to the fetus. The rating is shown above and the value is 0, 2, or 4.

Guidelines: Choose only one option.

Valid Entries: Strong, moderate or minimal or none

Unknown: Not acceptable

Refused: Not acceptable

Not Collected: Not acceptable

Blanks: Not acceptable

Field Length: 1

Field Type: Numeric
124. Family substance abuse history: ____
        0 No family history of substance abuse
        2 Recovering family member(s)
        4 Family history of substance use or current family substance use

Description: Identifies the client’s family substance abuse history. The system will then give a rating to that answer based on the risk to the fetus. The rating is shown above and the value is 0, 2, or 4.

Guidelines: Choose only one option.

Valid Entries: No family history of substance abuse, recovering family member(s), or family history of substance use/current family substance use.

Unknown: Not acceptable
Refused: Not acceptable
Not Collected: Not acceptable
Blanks: Not acceptable
Field Length: 1
Field Type: Numeric
125. Drug using partner: ____
   0 No drug using partner
   3 Yes, partner interested or enrolled in treatment
   4 Yes, partner not interested in treatment

Description: Identifies if the client has a drug using partner. The system will then give a rating to that answer based on the risk to the fetus. The rating is shown above and the value is 0, 3, or 4.

Guidelines: Choose only one option.

Valid Entries: No drug using partner, partner interested or enrolled in treatment, or partner not interested in treatment.

Unknown: Not acceptable

Refused: Not acceptable

Not Collected: Not acceptable

Blanks: Not acceptable

Field Length: 1

Field Type: Numeric
126. HIV Risk: ___
   1 No reported history of high risk behaviors
   2 Occupational exposure to HIV risk
   3 Blood transfusion prior to 1985
   4 Sex with IV drug user, multiple sexual partners, prostitution
   5 Known to be HIV positive or IV drug user

Description: Identifies the client’s HIV risk. The system will then give a rating to that answer based on the risk to the fetus. The rating is shown above and the value is 1, 2, 3, 4, or 5.

Guidelines: Choose only one option.

Valid Entries: No reported history of high risk behavior, occupation exposure to HIV risk, blood transfusion prior to 1985, sex with IV drug user/multiple sexual partners/prostitution or known to be HIV or IV drug users.

Unknown: Not acceptable

Refused: Not acceptable

Not Collected: Not acceptable

Blanks: Not acceptable

Field Length: 1

Field Type: Numeric
127. **Method of Administration of primary drug: ___**
   1 Orally
   3 Inhale
   4 Smoking
   5 IV

**Description:** Identifies the client’s method of administration of primary drug. The system will then give a rating to that answer based on the risk to the fetus. The rating is shown above and the value is 1, 3, 4, or 5.

**Guidelines:** Choose only one option.

**Valid Entries:** Orally, inhale, smoking or IV.

**Unknown:** Not acceptable

**Refused:** Not acceptable

**Not Collected:** Not acceptable

**Blanks:** Not acceptable

**Field Length:** 1

**Field Type:** Numeric
128. **Home environment:**

0 Stable, drug free home with support  
2 Lives alone or with children in stable housing  
4 No stable residence for past year  
5 Homeless or living with drug user

**Description:**
Identifies the client’s home environment. The system will then give a rating to that answer based on the risk to the fetus. The rating is shown above and the value is 0, 2, 4, or 5.

**Guidelines:**
Choose only one option.

**Valid Entries:**
Stable/drug free home with support, lives alone or with children in stable housing, no stable residence for past year, or homeless or living with drug user.

**Unknown:**
Not acceptable

**Refused:**
Not acceptable

**Not Collected:**
Not acceptable

**Blanks:**
Not acceptable

**Field Length:**
1

**Field Type:**
Numeric
129. **Personal safety:** ___
   0 No incidents of emotional, verbal, or physical abuse between beginning of pregnancy and now.
   2 One to two incidents of emotional, verbal or physical abuse between beginning of pregnancy and now.
   4 Multiple incidents of emotional, verbal or physical abuse between the beginning of the pregnancy and now.

**Description:** Identifies the client’s personal safety. The system will then give a rating to that answer based on the risk to the fetus. The rating is shown above and the value is 0, 2, or 4.

**Guidelines:** Choose only one option.

**Valid Entries:** No incident of emotional, verbal or physical abuse between beginning of pregnancy and now, one or two incidents of emotional, verbal or physical abuse between beginning of pregnancy and now, or multiple incidents of emotional, verbal or physical abuse between the beginning of the pregnancy and now.

**Unknown:** Not acceptable

**Refused:** Not acceptable

**Not Collected:** Not acceptable

**Blanks:** Not acceptable

**Field Length:** 1

**Field Type:** Numeric
130. Employment/Education Status: ___
   0 Secure employment; homemaker with income, student or job training program.
   2 Employed but moderate disruptions; unemployed but income.
   4 Unemployed due to disruptions/employed but major disruptions; virtually unemployed at present.

Description: Identifies the client’s education/employment status. The system will then give a rating to that answer based on the risk to the fetus. The rating is shown above and the value is 0, 2, or 4.

Guidelines: Choose only one option.

Valid Entries: Secure employment; homemaker with income/student or job training program, employed but moderate disruptions; unemployed but income, or unemployed due to disruptions/employed but major disruptions; virtually unemployed at present.

Unknown: Not acceptable

Refused: Not acceptable

Not Collected: Not acceptable

Blanks: Not acceptable

Field Length: 1

Field Type: Numeric
### 131. Legal Status: ___
- 0 Not on parole or probation.
- 2 Charges pending.
- 3 Currently on parole or probation.

**Description:** Identifies the client’s legal status. The system will then give a rating to that answer based on the risk to the fetus. The rating is shown above and the value is 0, 2, or 3.

**Guidelines:** Choose only one option.

**Valid Entries:** Not on parole or probation, charges pending or currently on parole or probation.

**Unknown:** Not acceptable

**Refused:** Not acceptable

**Not Collected:** Not acceptable

**Blanks:** Not acceptable

**Field Length:** 1

**Field Type:** Numeric
132. Prior Criminal Record: ___
0 No prior felony or misdemeanor conviction.
2 One felony or misdemeanor conviction.
4 Two or more felony or misdemeanor convictions.

Description: Identifies the client’s prior criminal record. The system will then give a rating to that answer based on the risk to the fetus. The rating is shown above and the value is 0, 2, or 4.

Guidelines: Choose only one option.

Valid Entries: No prior felony or misdemeanor conviction, one felony or misdemeanor conviction, or two or more felony or misdemeanor convictions.

Unknown: Not acceptable
Refused: Not acceptable
Not Collected: Not acceptable
Blanks: Not acceptable
Field Length: 1
Field Type: Numeric
133. Emotional stability or Mental Disorders: ___
0 No symptoms of mental disorder, no apparent impairment in functioning.
2 Some symptoms with mild/moderate functioning impairment
4 Symptoms with severe impairment in functioning.

Description: Identifies the client’s emotional stability/Mental Disorders. The system will then give a rating to that answer based on the risk to the fetus. The rating is shown above and the value is 0, 2, or 4.

Guidelines: Choose only one option.

Valid Entries: No symptoms of mental disorder/no apparent impairment in functioning, some symptoms with mild/moderate functioning impairment, or symptoms with severe impairment in functioning.

Unknown: Not acceptable
Refused: Not acceptable
Not Collected: Not acceptable
Blanks: Not acceptable
Field Length: 1
Field Type: Numeric
134. Prior alcohol/drug education or treatment: ____
0 No prior alcohol/drug education or treatment.
2 One education or treatment experience.
4 Two or more treatment experiences.

Description: Identifies the client’s prior alcohol/drug education or treatment. The system will then give a rating to that answer based on the risk to the fetus. The rating is shown above and the value is 0, 2, or 4.

Guidelines: Choose only one option.

Valid Entries: No prior alcohol/drug education or treatment, one education or treatment experience, or two or more treatment experiences

Unknown: Not acceptable
Refused: Not acceptable
Not Collected: Not acceptable
Blanks: Not acceptable
Field Length: 1
Field Type: Numeric
135. **Family Status:**

- 0 First pregnancy, no other children.
- 1 One child living at home.
- 2 Two or more children living at home under the age of six.
- 3 Children living outside client’s home.
- 4 Three or more children living with you.

**Description:** Identifies the client’s family status. The system will then give a rating to that answer based on the risk to the fetus. The rating is shown above and the value is 0, 1, 2, 3 or 4.

**Guidelines:** Choose only one option.

**Valid Entries:** First pregnancy/no other children, one child living at home, two or more children living at home under the age of six, children living outside client’s home, or three or more children living with you.

**Unknown:** Not acceptable

**Refused:** Not acceptable

**Not Collected:** Not acceptable

**Blanks:** Not acceptable

**Field Length:** 1

**Field Type:** Numeric
136. Attitude: ___
   0 Highly motivated to change; receptive to assistance.
   2 Moderate motivation to change.
   4 Rationalized behavior; negative; not motivated.

Description: Identifies the client’s attitude. The system will then give a rating to that answer based on the risk to the fetus. The rating is shown above and the value is 0, 2 or 4.

Guidelines: Choose only one option.

Valid Entries: Highly motivated to change; receptive to assistance, moderate motivation to change, dependent or unwilling to accept responsibility, or rationalized behavior; negative; not motivated.

Unknown: Not acceptable
Refused: Not acceptable
Not Collected: Not acceptable
Blanks: Not acceptable
Field Length: 1
Field Type: Numeric
Hopefulness: ___
0 Ability to envision a positive future.
2 Ability to believe there is hope for the future for self and infant.
4 Not able to envision a future or vision is negative.

Description: Identifies the client’s hopefulness. The system will then give a rating to that answer based on the risk to the fetus. The rating is shown above and the value is 0, 2 or 4.

Guidelines: Choose only one option.

Valid Entries: Ability to envision a positive future, ability to believe there is hope for the future for self and infant, or not able to envision a future or vision is negative

Unknown: Not acceptable
Refused: Not acceptable
Not Collected: Not acceptable
Blanks: Not acceptable
Field Length: 1
Field Type: Numeric
138. Self esteem rating by clinician: ___
   0 High self esteem.
   2 Moderate client self esteem.
   4 None or low client self esteem.

Description: Identifies the client’s self esteem rating by clinician. The system will then give a rating to that answer based on the risk to the fetus. The rating is shown above and the value is 0, 2 or 4.

Guidelines: Choose only one option.

Valid Entries: High self esteem, moderate client self esteem, or none/low client self esteem.

Unknown: Not acceptable
Refused: Not acceptable
Not Collected: Not acceptable
Blanks: Not acceptable
Field Length: 1
Field Type: Numeric